

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-NINTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 5, 1999

The Board of Trustees met at its regular monthly meeting on Friday, February 5, 1999, at The Ohio State University, Ohio Union, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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February 5, 1999 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on February 5, 1999, at 10:20 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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Mr. Celeste:

Before I call on President Kirwan, I would like to take a minute to mention the passing of a great friend, a terrific supporter of The Ohio State University, and one of our former colleagues on the Board of Trustees, John Barone. I would like to ask all of you to remember the Barone family in your thoughts and prayers.

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PRESIDENT'S REPORT

President William E. Kirwan:

I am pleased to report that the University's momentum from 1998 has continued into the new year. In fact, the 1999 year started off well on New Year's Day with a Buckeye victory in the Sugar Bowl, a Big Ten title, and two top two finishes in the last three years. Pretty impressive accomplishments.

We also welcomed a new governor at the beginning of this year. We applaud and share Governor Taft's belief that education is the key to success in the next century. We look forward to working with the Governor and his cabinet to ensure that, grade school through graduate school, Ohioans are prepared to lead in the new millennium. As one example of our commitment to supporting the Governor's education agenda, we are developing ways to make a significant contribution to his Ohio Reads initiative.

This is the first week of United Black World Month on campus. Vice President David Williams and I had the honor of speaking at the opening ceremonies Sunday afternoon at the King Avenue Methodist Church. Lectures and performances open to students, faculty, staff, and the community will explore the theme of "Connections to Africa." Programs for children are an especially important part of the month-long celebration. Several distinguished lecturers and performers will come to campus for United Black World Month.

The University had a number of interesting visitors over the past few weeks, including astronaut and Ohio State graduate Nancy Currie. This veteran of three shuttle missions was the guest of our ROTC programs yesterday. Sarah Ferguson, the Duchess of York, was the guest of our College of Medicine and Public Health in University Hospitals. She spoke on behalf of the Spirit of Women projects and the bio-nutrition program.

Speaking of visitors, I want you to be aware of a very impressive statistic. More than 45,000 people attended athletic events at the Jerome Schottenstein Center in one weekend, January 22-23. The men's and women's basketball games and a hockey game were the beneficiaries of this interest. The support of our student athletes, because of the Schottenstein Center, has been outstanding.

Several of us had to miss those games, however, as we were returning from what I believe will turn out to be a very important trip to India. On this trip, we were able to create or re-establish institutional relationships in agriculture, engineering, and

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

the arts and sciences. These agreements were created with some of India's most distinguished universities and institutes. Professor Rattan Lal of our soil science program provided valuable consultation for our trip. I am especially grateful to Trustee Dan Slane and our Chairman, Ted Celeste, for giving their time to this successful endeavor. We expect faculty and student exchanges to begin early next year.

I notice several of my fellow travelers in the audience. Vice President Bobby Moser, Dean Kermit Hall, and Dean David Ashley all made a very significant contribution to the success of this trip.

As I think we are all aware, the continuation of the relationship between Ohio State students and COTA was passed overwhelmingly -- 90 percent to 10 percent -- by our students. Now we are extremely pleased by this cooperative agreement with COTA and the service it will provide all of our students.

Events of the past month have heightened our concern about the safety of our campus community. We are deeply saddened by the deaths of two young people in our campus neighborhood and by several other recent crimes. We are grateful for the diligence of the Columbus Police Department in pursuing these crimes.

The installation of blue emergency telephones off campus similar to those on campus is one step we are taking. Larger issues of jurisdiction between our safety officers and the Columbus Police are in active discussion at the highest levels, as is the police presence in our surrounding community. We must continue to work on education and prevention and work together to enhance the safety of our students and community.

As the quarter opened, we faced some very severe weather. I want to commend the staff of Physical Facilities for their extraordinary efforts at cleaning 105 miles of sidewalks, 38 lane-miles of streets, and all those parking lots. It was an enormous challenge and they performed so admirably. Quality performance is not new to this group, however. Thanks to the leadership of Janet Ashe and others, Physical Facilities recently won a Governor's Award for Team Excellence. You'll be hearing more about this honor a little later in today's meeting.

I am delighted to report today that our Affirm Thy Friendship Campaign has surpassed its original goal of \$850 million. At year's end, our gifts, pledges, and planned gifts exceeded \$885 million. It is an extraordinary accomplishment. This impressive total was led last month by Richard Solove's \$20 million commitment to cancer research. This 1948 pharmacy graduate inspires all of us by his determined effort to help society find answers to this horrible dreaded disease. The success of the campaign depends on the friendship of people like Dick Solove and so many others.

To date, the campaign has added 61 new faculty chairs in our academic units, 356 new endowed scholarships and fellowships, and more than \$100 million toward new facilities.

There is another important thing I want to mention today, and that is as part of this campaign, almost \$200 million to date have gone into the University's Endowment. I think you all know the Endowment is a permanent fund that supports many initiatives at the University in perpetuity through the return of investment on that

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Endowment, and our able Treasurer has just informed me that as of January 31, 1999, the University's Endowment was at \$1,010,300,000. We have exceeded the \$1 billion mark with our Endowment!

There are very few universities, public or private -- and only a handful of public universities -- that have a billion dollar endowment. It is a real statement about this University that we have reached that level.

Jim provided me with some interesting statistics; we just hope this projection that I'm about to describe to you can continue into the future. It took 113 years for our Endowment to reach \$50 million, it took 20 more years for it to reach \$500 million, and it took four years more for it to reach \$1 billion.

I want to congratulate this Board, the Foundation Board, Jerry May and his staff at University Development, the deans, faculty and staff, and thousands of volunteers, both inside the University community, and friends and alumni of the University, their contribution to this has been enormous and has made this possible. And so now, Mr. Chairman, it's on to our other billion dollar goal, the completion of the Affirm Thy Friendship Campaign. So on that challenging note, Mr. Chairman, I will conclude my report.

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CONSENT AGENDA

President Kirwan:

We have sixteen resolutions to present to the Board for approval today. I would like to ask that item #15 be held for a separate vote. Unless there are any objections, I would like to recommend these remaining fifteen resolutions on the consent agenda:

RENAMING OF CANCER RESEARCH INSTITUTE

Resolution No. 99-70

Synopsis: Renaming of the cancer research institute which is part of The Arthur G. James Cancer Hospital and Research Institute located at 300 W. Tenth Avenue is proposed.

WHEREAS The Ohio State University operates a cancer research institute as a strategic part of the mission of The Ohio State University Medical Center and The Arthur G. James Cancer Hospital and Research Institute; and

WHEREAS Richard J. Solove has had a long and distinguished tradition of service to The James, including service as a founding member of the Ohio Cancer Foundation Board (precursor to The James Foundation), president of The James Foundation Board from 1989-1994, a member of The James Cancer Foundation Board of Directors, and has served on The James Cancer Hospital Board since its inception; and

WHEREAS Richard J. Solove has had a remarkable record of service to the community and to his alma mater; and

WHEREAS it is Richard J. Solove's intention that the research institute have the best possible opportunity to find a cure for cancer; and

RENAMING OF CANCER RESEARCH INSTITUTE (contd)

WHEREAS Richard J. Solove has made a \$20 million gift to The Ohio State University Foundation to provide for basic cancer research within the institute; and

WHEREAS the family of Dr. Arthur G. James have willingly given consent to changing the name of The Arthur G. James Cancer Hospital and Research Institute:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of the rule 3335-1-08 of the Administrative Code, the aforementioned facility shall be renamed "The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute."

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT STATION
ADVISORY COUNCIL**

Resolution No. 99-71

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state;"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of David B. Ashley, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Umit S. Ozkan	Professor of Chemical Engineering	3 years (2/1/99 - 1/31/2002)
K. Cheena Srinivasan	Acting Associate Dean for Research - College of Engineering	3 years (2/1/99 - 1/31/2002 or whenever the acting appointment is concluded)

HONORARY DEGREES

Resolution No. 99-72

Synopsis: The awarding of honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Robert D. Putnam

Doctor of Political Science

and

WHEREAS pursuant to paragraph (C) of rule 3335-3-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of honorary degrees as listed below:

Richard D. Klausner

Doctor of Science

John F. Wolfe

Doctor of Journalism and
Communication

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

WAIVER

Resolution No. 99-73

WHEREAS pursuant to the Board's acceptance of the Report of the Committee on Retirement and Insurance on February 6, 1959, and Resolution 77-92, "Principal Administrative Officials" of The Ohio State University are to be relieved of their administrative assignments at age 65; and

WHEREAS the University's reappointment of Lawrence A. Brown as Chairperson of the Department of Geography would be restricted by the age 65 exclusion; and

WHEREAS Lawrence A. Brown has demonstrated outstanding service to the Department of Geography and the College of Social and Behavioral Sciences; and

WHEREAS the University wishes to continue the appointment of Lawrence A. Brown in this administrative post beyond his sixty-fifth birthday:

NOW THEREFORE

BE IT RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance be waived to allow for the continued appointment of Lawrence A. Brown as Chairperson of the Department of Geography.

PERSONNEL ACTIONS

Resolution No. 99-74

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the December 4, 1998 meeting of the Board, including the following Appointment, Appointment/Reappointment of Chairpersons, Leaves of Absence Without Salary, Professional Improvement Leave, and Emeritus Titles as detailed in the University Budget be approved.

Appointment

Name: KARLA S. ZADNIK
Title: Associate Professor (The Glenn A. Fry Professorship in Optometry)
College: Optometry
Effective: January 1, 1999

Appointment of Chairpersons

July 1, 1998 through June 30, 1999

Biochemistry George A. Marzluf*

July 1, 1998 through June 30, 2002

Plant Biology Richard T. Sayre

January 1, 1999 through June 30, 1999

Food, Agricultural and Biological Engineering Thomas L. Bean*

January 1, 1999 through December 31, 1999
(Correction in Dates)

Horticulture and Crop Science A. Ray Miller*
Ohio Agricultural Research and Lowell R. Nault*
Development Center

October 1, 1999 through September 30, 2003

French and Italian Diane W. Birckbichler

Reappointment of Chairpersons

July 1, 1998 through June 30, 2002

Entomology David L. Denlinger
Molecular Genetics Lee F. Johnson

July 1, 1999 through June 30, 2003

Geography Lawrence A. Brown
Political Science Paul A. Beck
Speech and Hearing Science Robert A. Fox

*Interim

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary

EDWARD B. FOLEY, Professor, College of Law, effective January 1, 1999, through December 31, 2000, to serve as State Solicitor of the State of Ohio.

DEBRA A. MODELMOG, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 1999, to assume the position of Visiting Associate Professor at California State University-Monterey.

MARK ROBBINS, Associate Professor, Knowlton School of Architecture, effective Winter Quarter, Spring Quarter, and Autumn Quarter 1999, to serve as the Director of Design at the National Endowment for the Arts in Washington, D.C.

INGRID WERNER, Associate Professor, Department of Finance, effective Winter Quarter 1999, to complete her requirements for application for permanent residency.

Leave of Absence Without Salary--Continuation

LINDSAY R. JONES, Associate Professor, Division of Comparative Studies in the Humanities, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to continue serving as a foreign professor at Tsukuba University in Japan.

Professional Improvement Leaves

TIMOTHY C. BROCK, Professor, Department of Psychology, effective Autumn Quarter 1999 and Winter Quarter 2000.

DANIEL B. SHAPIRO, Professor, Department of Mathematics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

NANCY ETTLINGER, Associate Professor, Department of Geography, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2000.

JANET L. HENDERSON, Associate Professor, Department of Human and Community Resource Development, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

MARK M. LOUX, Associate Professor, Department of Horticulture and Crop Science, effective Winter Quarter and Spring Quarter 1999.

KAREN M. MANCL, Associate Professor, Department of Food, Agricultural and Biological Engineering, effective Summer Quarter and Autumn Quarter 1999.

ALLAN J. SILVERMAN, Associate Professor, Department of Philosophy, effective Winter Quarter 2000.

Professional Improvement Leave -- Change in Dates

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), change leave from Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to Autumn Quarter 1998.

Emeritus Titles

DAVID H. IVES, Department of Biochemistry, with the title Professor Emeritus, effective January 1, 1999.

JOHN T. SOMMERS, Ohio State University Extension, with the title Associate Professor Emeritus, effective January 1, 1999.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

BETTY J. BARTLETT, School of Allied Medical Professions, with the title Assistant Professor Emeritus, effective January 1, 1999.

MARY P. KEY, University Libraries, with the title Assistant Professor Emeritus, effective December 1, 1998.

RESOLUTIONS IN MEMORIAM

Resolution No. 99-75

Synopsis: Approval of five Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

Maxwell K. Douglas

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 19, 1998, of Maxwell K. Douglas, retired and founding Director of The Ohio State University at Newark.

Mr. Douglas was a native of Ohio, raised in Frazeytsburg, and graduated from Denison University in 1929 with a Bachelor of Arts degree. He served as the first Director of the Newark Regional Campus from its inception in 1957 until his retirement in 1965. Classes were held at Newark High School and started on October 1, 1957, with 80 students registered. Mr. Douglas established an "encouragement grading system" to build the students' feelings of confidence. Recruiting and retaining students and upholding academic standards took most of his time the first year.

Prior to becoming affiliated with The Ohio State University, Mr. Douglas was a science teacher at Newark High School and also a coach for high school basketball, golf, track, and football. He was inducted into the Ohio High School Basketball Coaches Association Hall of Fame in 1990.

On behalf of the University community, the Board of Trustees expresses to the family of Maxwell K. Douglas its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

D. Lyle Goleman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 11, 1998, of Dr. D. Lyle Goleman, Professor Emeritus and former Chairperson of the Department of Entomology.

Dr. Goleman was a native of Illinois, and graduated with a B.S. degree from Eastern Illinois University. He received his M.S. and Ph.D. degrees from Iowa State College. Dr. Goleman served in the U.S. Army during the Korean Conflict.

His professional career was launched in 1954 when he joined the faculty of The Ohio State University as a member of the Ohio Extension Service. He then left Ohio State to work as an entomologist for American Cyanamid Company in New Jersey. After six years, he returned to Ohio State and served as the State Toxicologist.

RESOLUTIONS IN MEMORIAM (contd)

D. Lyle Goleman (contd)

When the newly-created Department of Entomology was formed in 1968, Dr. Goleman was named as the first chairperson of the department, a position he held for sixteen years. Upon his retirement in 1989, he was appointed Professor Emeritus.

He will be remembered by his peers and former students for his dedication to his profession, his commitment as a teacher, and his loyalty to the Department of Entomology.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. D. Lyle Goleman its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

James Robert McLeod

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 20, 1998, of Dr. James R. McLeod, Associate Professor in the Department of Anthropology.

Dr. McLeod was born in Illinois in 1949 and grew up there and in Japan and California. He received an A.B. degree from the University of California - Berkeley (1972), and both M.A. (1974) and Ph.D. (1983) degrees from The Ohio State University.

After teaching at several institutions, he joined The Ohio State University faculty in Mansfield in 1985. As a student and faculty member, Dr. McLeod was associated with the University for almost 26 years.

As the recipient of several Fulbright and other fellowships, James McLeod taught and conducted research in England, Japan, and Russia. His work on ritual, political rhetoric, and postmodern culture was widely published. An outstanding teacher, Dr. McLeod received numerous teaching awards, including the University's Alumni Distinguished Teaching Award in 1991.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. James R. McLeod its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Clarence J. Neal, Jr.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 29, 1998, of Dr. Clarence J. "C. J." Neal, Jr., Associate Professor Emeritus in the College of Dentistry.

Dr. Neal was a native of Michigan. He completed his pre-dentistry education at Talladega College and the Morehouse College of Atlanta University. He graduated from Meharry Medical College School of Dentistry in 1949 with a D.D.S. degree, and then completed an internship and a residency program in oral and maxillofacial surgery. In 1951, Dr. Neal joined the U.S. Army Dental Corps as an oral surgeon at the rank of Captain and was stationed in Great Britain, where he completed additional studies in oral surgery at Oxford University during his tour of duty.

He entered private practice in Columbus in 1954 and joined the Department of Oral and Maxillofacial Surgery at Mercy Hospital. Dr. Neal wrote the proposal for the establishment of the Neighborhood Health Centers for the City of Columbus and served as its first administrative director in 1974-1975. He served on the boards of numerous community health councils and boards throughout his professional lifetime. Unselfish of his time and energy, he gave numerous presentations on oral health to schools and service clubs throughout Franklin County.

RESOLUTIONS IN MEMORIAM (contd)

Clarence J. Neal, Jr. (contd)

Dr. Neal joined the faculty of the College of Dentistry in 1974 as an Associate Professor of Oral Diagnosis and Oral Medicine. He was a popular teacher and was well-known for his course on the use of hypnosis in managing apprehensive and phobic patients. A model in communicating with patients and students, he served as director of the College's emergency clinic before accepting the position of Director of Student/Patient Relations. He also served as chairman of the College's Admissions Committee.

A man of wide and varied interests, he continually advanced his own education, receiving a baccalaureate degree from Talladega College in 1984, forty-one years after he left that school to enroll in Meharry Medical College. He also earned a Master of Science in interdisciplinary education from the University of Dayton. He was, as might be expected, the recipient of several prestigious awards from his profession.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Clarence J. Neal its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Frances McPherson Pease

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 10, 1998, of Frances McPherson Pease, Associate Professor Emeritus in the College of Nursing.

Professor Pease was a native of Ohio, and graduated from Grant Hospital School of Nursing, The Ohio State University, and the University of Chicago. She was past president of the Grant Hospital Alumni Association and past president of The Ohio State University Women's Faculty Association.

From 1941-44 and 1949-75, Frances Pease served on the faculty and in administrative positions at the School of Nursing (now the College of Nursing): Associate Director from 1952-69 and Secretary of the School from 1969-75. At the time of her retirement in 1975, she was appointed Associate Professor Emeritus.

On behalf of the University community, the Board of Trustees expresses to the family of Frances M. Pease its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-76

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for November and December 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of November and December 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-77

Synopsis: The report on the receipt of gifts and the summary for December 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of twenty-eight (28) new named endowed funds and amendments to one (1) endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of December 1998 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-December

1997 Compared to 1998

GIFT RECEIPTS BY DONOR TYPE

Dollars
July through December

	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 9,441,039	\$24,121,642	155%
Alumni (From Bequests)	<u>3,457,269</u>	<u>628,436</u>	-82%
Alumni Total	\$ 12,898,308	\$24,750,078	92%
Non-Alumni (Current Giving)	\$ 6,599,098	\$ 7,381,633	12%
Non-Alumni (From Bequests)	<u>3,502,213</u>	<u>3,459,161</u>	-1%
Non-Alumni Total	\$ 10,101,311	\$ 10,840,794	-7%
Individual Total	\$ 22,999,619	\$35,590,872	55% ^A
Corporations/Corp. Foundations	\$ 17,775,782	\$20,465,649	15% ^B
Private Foundations	\$ 6,945,226	\$ 5,269,951	-24% ^C
Associations & Other Organizations	\$ <u>1,716,953</u>	\$ <u>1,647,452</u>	-4%
Total	\$49,437,580	\$62,973,924	27%

NOTES

- A Individual giving is up 55% largely due to 262 gifts totaling \$10,000 or more (27.1 million). Last year during this period 257 individuals gave \$10,000 or more (\$14.6 million).
- B Giving at the \$10,000 or more level from corporations is up 17% so far this year (\$17.1 million from 331 gifts this year; \$14.6 million from 296 gifts last year).
- C Foundation giving at the \$10,000 or more level is down 28% for the first six months of the fiscal year (\$6.5 million from 84 gifts last year; \$4.7 million from 92 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT(contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-December
1997 Compared to 1998 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through December		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 12,587,554	\$17,384,799	38
Faculty Support	\$ 7,272,511	\$ 5,871,920	-19
Program Support	\$ 16,782,516	\$25,119,861	50
Student Financial Aid	\$ 7,662,259	\$ 8,599,793	12
Annual Funds-Colleges/Departments	\$ 4,295,802	\$ 4,406,151	3
Annual Funds-University	\$ <u>836,938</u>	\$ <u>1,591,400</u>	90
Total	\$49,437,580	\$62,973,924	27

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through December		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
	\$ 19,840,032	\$28,289,454	43

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Thomas L. Payne Agricultural Ecosystems Research Fund (Research and program support for agricultural ecosystems at OARDC - provided through existing OARDC funds).	\$3,000,000.00		\$3,000,000.00
The Raymond C. Firestone Endowment Fund (Discretionary funds for University President - provided with proceeds from the sale of the Raymond C. Firestone property).		\$2,551,121.57	\$2,551,121.57
The Honda Fund for Engineering Academic Enrichment (Funds for activities or purchases to enrich engineering students education experience - provided with gifts from TRC, Inc. and Honda).	\$1,500,000.00		\$1,500,000.00
The Bess Krag Musser Graduate Fellowship Fund (Support for graduate fellowship in College of Veterinary Medicine - provided with a gift from the estate of Bess Krag Musser).	\$159,895.17		\$159,895.17
The College of Veterinary Medicine "Class of 63" Endowed Fund (Support for endowed Chair in Ophthalmology - College of Veterinary Medicine - provided by gifts from members of the College of Veterinary Medicine Class of 1963)	\$55,702.84		\$55,702.84
The R. T. and J. P. Ramsay Scholarship Fund (Scholarships for undergraduate students majoring in the sciences - provided by a gift from Roland T. and Jodie Price Ramsay).	\$47,590.63		\$47,590.63
The Charles L. Spohn, Jr. Graduate Award Fund (Support of a graduate assistant for the Director of the OSU Marching Band - provided by gifts from Dorothy M. Spohn and friends of Dr. Charles Spohn).		\$27,550.00	\$27,550.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Fay Graduate Fellowship Fund in Environmental Sciences (Scholarships - Environmental Sciences Graduate Program - College of Food, Agricultural and Environmental Sciences - provided by gifts from D. Dale Fay; Lucille Fay and Jerry W. Fay).		\$25,860.00	\$25,860.00
The Robert K. Graham Ophthalmic Optics Fund in Optometry (Support for research and study in ophthalmic optics - College of Optometry - provided by gifts from Robert K. Graham and the Graham Family Trust).	\$25,000.00		\$25,000.00
The OARDC Wooster Campus Beautification Fund (Funds will be used to beautify OARDC Wooster Campus - provided by existing OARDC funds).	\$25,000.00		\$25,000.00
The Secrest Arboretum Director's Fund (Support of Secrest Arboretum at OARDC Wooster Campus - provided by existing OARDC funds).	\$25,000.00		\$25,000.00
The Central Florida Alumni Scholarship Fund (Scholarships for graduates of central Florida high schools - provided by gifts from members and friends of the OSU Alumni Club of Central Florida Orlando).	\$15,660.00		\$15,660.00
The Joseph F. and Mary Stranges Scholarship Fund (Scholarships for participants in Freshman Foundation Program - provided by gifts from family, friends and colleagues of Joseph F. and Mary Stranges).	\$9,085.00		\$9,085.00

Change in Description of Named Endowed Fund

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Donald D. Mayhew Memorial Fellowship Endowment Fund (Support for student fellowship within the OSU Chadwick Arboretum - provided by gifts from the estate of Helen E. Mayhew).		\$1,500,000.00	\$1,500,000.00
The Sanford G. Price and Isabelle Price Barbee Scholarship Fund (Scholarships - Office of Student Financial Aid - provided by gifts from Mr. and Mrs. William H. Price II).		\$1,000,000.00	\$1,000,000.00
The Herb and Dee Dee Glimcher Visiting Professorship Fund (Support for Visiting Landscape Architecture Professor - Knowlton School of Architecture - provided by gifts from Herb and Dee Dee Glimcher).		\$325,000.00	\$325,000.00
The Mary M. Yost Scholarship Fund (Scholarships - College of Education - provided by gifts from Mary M. Yost).		\$157,182.21	\$157,182.21
The Lida Shriver Nursing Scholarship and Research Fund (Scholarships and research awards - College of Nursing - provided by a gift from Ralph Kent in honor of Anna Lida Shriver).		\$116,125.00	\$116,125.00
The Gerald and Elizabeth Williams Undergraduate Honors Program Fund (Scholarships and program support - Max M. Fisher College of Business - provided by gifts from Gerald R. and Mary Moffatt Williams).		\$34,312.50	\$34,312.50
The Jack W. Kennedy Scholarship Fund (Scholarships - Max M. Fisher College of Business - provided by gifts from family and friends of Jack W. Kennedy).		\$33,243.65	\$33,243.65
The Russell Klein Innovation Fund Endowment (Program development - Max M. Fisher College of Business - provided by gifts from Russell Klein).		\$32,908.35	\$32,908.35

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Richard and Margaret Knowlton Scholarship Fund (Scholarships to graduates from Logan County, Ohio - provided by gifts from Mr. and Mrs. Richard Knowlton).		\$31,080.00	\$31,080.00
The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg, Endowment for Cancer Research Fund (Support of cancer research at the Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Research Institute - provided by gifts from the Rite Rug Company, the Anita and Michael Goldberg family, and the Duke Goldberg Family Foundation).		\$30,000.00	\$30,000.00
The George W. Broughton Natural Resources Program Fund (Support for natural resources programming via OSU Extension in southeast Ohio - provided by gifts from George W. Broughton).		\$25,500.00	\$25,500.00
The John Glenn Institute Endowment Fund (Program and activity support for The John Glenn Institute for Public Service and Public Policy).		\$25,100.00	\$25,100.00
The Paul H. Rofkar Scholarship Endowment Fund (Scholarships - College of Food, Agricultural and Environmental Sciences - provided by gifts from Paul H. Rofkar).		\$25,000.00	\$25,000.00
The William and Dolly (Ardath) Saxbe Endowed Fund for the Arts (Dean's discretionary funds - College of the Arts - provided by a gift from William B. and Ardath L. (Dolly) Saxbe).		\$25,000.00	\$25,000.00
The Carl F. Mayer Scholarship Fund (Scholarships - Knowlton School of Architecture - provided by gifts from Charles G. Mayer in tribute to his father's memory).	\$22,400.00		\$22,400.00
Total	\$4,885,333.64	\$5,964,983.28	\$10,850,315.92

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF NAMED ENDOWED FUNDS

Thomas L. Payne Agricultural Ecosystems Research Fund

The Thomas L. Payne Agricultural Ecosystems Research Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University, through existing OARDC funds.

These funds are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research and related activities focused on agricultural ecosystems for growing, processing and providing renewable resources and for the related management of social, economic and ecological resources. Awards will be made available for start-up funds, equipment, research infrastructure support, and for other such forms of support as determined by the Director of the Ohio Agricultural Research and Development Center and by the Vice President for Agricultural Administration.

This fund is intended to benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of the Ohio Agricultural Research and Development Center, or the Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences.

\$3,000,000.00

The Raymond C. Firestone Endowment Fund

The Raymond C. Firestone Endowment Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with proceeds from the sale of the Raymond C. Firestone property.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the President for the general support of the University.

If the need for this fund should cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees.

\$2,551,121.57

The Honda Fund for Engineering Academic Enrichment

The Honda Fund for Engineering Academic Enrichment was established February 5, 1999, by the Board of Trustees of The Ohio State University ("OSU") with a gift to The Ohio State University Development Fund from the Transportation Research Center, Inc. ("TRC") of East Liberty, Ohio, in recognition of its unique partnership with, and the financial support of, Honda Motor Co., Ltd. and Honda of America Mfg., Inc. (collectively "Honda") and their long-standing interest in improving engineering education.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Honda Fund for Engineering Academic Enrichment (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income will be used by the dean of The Ohio State University's College of Engineering to support efforts to prepare more effectively students for careers in engineering. The dean should use this fund for activities or purchases that enrich the students' engineering education experience; these uses should not substitute for funding normally provided for course delivery by the College or University. The yearly earnings can be used, for example, to acquire new educational tools and technology, provide funding for new curriculum development and implementation in the field of engineering, hire peer mentors, support visiting scholars and support other innovative academic enhancements related to engineering.

The dean will report annually to TRC and Honda on results of the previous year and the goals, objectives, and plans for the upcoming year; these reports will include the use of all fund earnings, past and projected, over the same two-year period. The dean will consider any recommendations of Honda or TRC as to the future use of such fund earnings.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$1,500,000.00

The Bess Krag Musser Graduate Fellowship Fund

The Bess Krag Musser Graduate Fellowship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Ms. Musser's estate.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be returned to principal until such time as the total market value reaches \$250,000. At that time, the income will be used to support a graduate fellowship at The Ohio State University College of Veterinary Medicine. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the appropriate department chair.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$159,895.12

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The College of Veterinary Medicine "Class of '63" Endowed Fund

The College of Veterinary Medicine "Class of '63" Endowed Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from members of The Ohio State University College of Veterinary Medicine Class of 1963.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be reinvested to principal for the next five (5) years. Beginning in 2003, the income will be used to support an endowed chair in ophthalmology at the College. At that time, if no endowed chair exists the income will be used to support another project designated by the "Class of '63" and approved by the College of Veterinary Medicine.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$55,702.84

The R. T. and J. P. Ramsay Scholarship Fund

The R. T. and J. P. Ramsay Scholarship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Roland T. Ramsay (B.S. Arts & Sciences '51; M.A. Education '55) and Jodie Price Ramsay (M.A. Education '57) of Pittsburgh, Pennsylvania.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income of this fund is to be used to provide scholarships to undergraduate students majoring in the sciences, with preferred major areas of study specified by the donor in a letter of instruction to the University Committee on Student Financial Aid. Selection shall be based on both financial need and academic merit, from among students ranking in the top 25 percent of their high school classes, and attaining national test scores equal to those required of University Scholars. Selection of recipients will be made by the University Committee on Student Financial Aid. This scholarship is renewable upon annual application and evidence of satisfactory progress toward a degree. If in any given year no scholarships are awarded, the unused income shall be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the University Committee on Student Financial Aid in order to carry out the desire of the donor.

\$47,590.63

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Charles L. Spohn, Jr. Graduate Award Fund

The Charles L. Spohn, Jr. Graduate Award Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dorothy M. Spohn of Cincinnati, Ohio, and friends of Dr. Charles Spohn, faculty member in the School of Music (1951-1970), Director of The Ohio State University Marching Band (1964-1969), and Associate Dean of the College of Arts (1968-1970).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a graduate assistant for the Director of The Ohio State University Marching Band. Recipients shall be selected by the Director of the Band, with the approval of the Director of the School of Music. The award is renewable annually.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees after prior consultation with the appropriate college officials in order to carry out the desire of the donors.

\$27,550.00

Fay Graduate Fellowship Fund in Environmental Sciences

The Fay Graduate Fellowship Fund in Environmental Sciences was established February 5, 1999, by the Board of Trustees of The Ohio State University with an initial gift to The Ohio State University Development Fund from D. Dale Fay, Ph.D. (B.S. Microbiology '66; M.S. Microbiology '68; Ph.D. Microbiology '77) and Lucille Fay and Jerry W. Fay.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship annually to doctoral students studying in the Environmental Sciences Graduate Program. It is intended for: books; professional and research related travel; costs associated with data collection, analysis, presentation and/or publication of result; and/or fees and tuition or other expenses related to a doctoral program. Priority shall be based on superior demonstrated academic ability, demonstrated leadership, relevance and quality of goals and experience related to Environmental Sciences, and professional references. Selection shall be made by the scholarship selection committee in consultation with the Director of the Environmental Sciences Graduate Program and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice President for Agricultural Administration and the Director of the Environmental Sciences Graduate Program or current program administrative officer in order to carry out the desire of the donors.

\$25,860.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Robert K. Graham Ophthalmic Optics Fund in Optometry

The Robert K. Graham Ophthalmic Optics Fund in Optometry was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Robert K. Graham (B.S. Applied Optics '37) and the Graham Family Trust.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to promote the study and research in ophthalmic optics at The Ohio State University College of Optometry and to be used to advance an Ophthalmic Optics Research Laboratory at The Ohio State University within the College of Optometry. Any unused income shall be applied to the principal at the dean's discretion.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

OARDC Wooster Campus Beautification Fund

The OARDC Wooster Campus Beautification Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University through existing OARDC funds.

These funds are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for beautifying the grounds of the OARDC Wooster Campus at the discretion of the OARDC Director in consultation with the OARDC Assistant to the Director for Field Operations.

This fund is intended to benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of the Ohio Agricultural Research and Development Center, or the Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences.

\$25,000.00

Secrest Arboretum Director's Fund

The Secrest Arboretum Director's Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University through existing OARDC funds.

These funds are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Secrest Arboretum Director's Fund (contd)

The annual income shall be used to support the Secrest Arboretum's endeavors to label new plants in the collection, to purchase supplies and equipment for projects to beautify the arboretum, to hire an intern, to hire a seasonal crew, and for other needs as determined by the Director of Secrest Arboretum.

This fund is intended to benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of Secrest Arboretum, with the Director of the Ohio Agricultural Research and Development Center, or the Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences.

\$25,000.00

Central Florida Alumni Scholarship Fund

The Central Florida Alumni Scholarship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from The Ohio State University Alumni Club of Central Florida Orlando, its members, and friends.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide academic scholarships to students graduating from high schools of Central Florida Orlando with preference for students qualified under the Alumni Scholars Program criteria, ranked and recommended by the Central Florida Alumni Club. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid. If any unused distributed income remains at the end of a fiscal year, it shall be added to principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of Student Financial Aid in order to carry out the desire of the donors.

\$15,660.00
(Grandfathered)

The Joseph F. and Mary Stranges Scholarship Fund

The Joseph F. and Mary Stranges Scholarship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from family, friends, and colleagues.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship(s) to minority undergraduate students who are participants in the Freshman Foundation Program. These scholarships should be awarded based on academic record (minimum cumulative grade point average of 3.0) and financial need.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Joseph F. and Mary Stranges Scholarship Fund (contd)

Selection shall be made by the Administrator of the Freshman Foundation Program in consultation with the Office of Student Financial Aid. If the Freshman Foundation Program ceases to exist, then it is the desire that preference for this scholarship is given to minority students.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice Provost for Minority Affairs in order to carry out the desire of the donors.

\$9,085.00
(Grandfathered)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund was established April 6, 1984, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from family and friends, in honor of Grace Tresch Henderson, (B.S.H.E. '29), and Dorothy Hammans Henderson, a former faculty member in the College of Human Ecology. The fund description was revised September 2, 1998 and further revised February 5, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income to \$750 is to be used to provide one or more scholarships in the name of Grace T. Henderson for sophomore, junior, or senior undergraduate students enrolled in the College of Human Ecology who best exemplify the academic excellence and professional leadership characteristics of Mrs. Henderson and has attained a 2.7 grade point average or above. The recipient must be a former 4-H member from Ohio with preference going to students from Southeastern Ohio, with applicants from Noble, Harrison, Jackson, and Washington Counties receiving highest priority. The selection of the recipients shall be made by the College of Human Ecology selection committee in consultation with the University Committee on Student Financial Aid.

The income in excess of \$750 shall be used to provide at least one scholarship in the name of Dorothy H. Henderson. The recipient shall be a sophomore, junior or senior student in the College of Human Ecology, with preference given to those students majoring in Family Resource Management, Family Financial Management or Consumer Affairs options. The selection of the recipients shall be made by the College of Human Ecology selection committee in consultation with the University Committee on Student Financial Aid.

The annual income in excess of \$1,500 shall be used to increase the level of each of the two scholarships to equal amounts up to a level of approximately one half of three-quarters of in-state tuition. Income in excess of two, half tuition scholarships shall be used toward additional scholarship awards made in the name of Grace T. Henderson and Dorothy H. Henderson using the same criteria. Any time funds exceed two half tuition scholarships, the funds shall be used in the same year and given as broadly as possible. Additional scholarships shall be awarded in smaller amounts and up to half tuition.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND (contd)

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund (contd)

If the need for this fund should cease to exist or so diminish as to provide unused income, then the income from the fund may be used for any purpose whatsoever as determined by the Board of Trustees with special consideration given to recommendations by the Dean of the College of Human Ecology in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED FUNDS

Donald D. Mayhew Memorial Fellowship Endowment Fund

The Donald D. Mayhew Memorial Fellowship Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift/bequest from Helen E. Mayhew to be used for a fellowship within The Ohio State University Chadwick Arboretum. The fellowship will provide an experience in urban tree improvement and maintenance through a hands-on arboretum field activity and direct involvement in urban tree improvement research conducted within the Department of Horticulture and Crop Science.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for undergraduate student stipends, awarded through a competitive application process. Award criteria and awards shall be made available to applicants as recommended by a committee comprised of:

- 1) members of The Chadwick Arboretum Coordinating Council (and/or Arboretum senior administrator)
- 2) a faculty member of the Department of Horticulture and Crop Science with research and/or expertise in the area of tree or forestry improvement

The committee named above shall have the responsibility and must approve the expenditures from the fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,500,000.00

Sanford G. Price and Isabelle Price Barbee Scholarship Fund

The Sanford G. Price and Isabelle Price Barbee Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid from Mr. and Mrs. William H. Price II, of Wyoming.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Sanford G. Price and Isabelle Price Barbee Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide renewable undergraduate, graduate, and professional scholarships, with preference for financially needy recipients with high values, ethical principals, moral character and above average grades who demonstrate initiative, leadership capabilities and good citizenship to their teachers, have some religious affiliation, are trustworthy, industrious, responsible in personal behavior and appearance, and who bring honor to their high schools and to The Ohio State University. Recipients should have developed a plan for their education and career, and taken meaningful courses that lead to a productive career. First preference is for heirs and/or descendants of employees and directors of the Gibsonburg Lime Products Company of Gibsonburg, Ohio, 1933-1964, (no longer operating) which later became a division of Pfizer, Inc., in appreciation of the efforts of our employees and directors. Second preference is for graduates of Gibsonburg High School, Gibsonburg, Ohio, or its successors; and, graduates of Woodmore High School in Elmore, Ohio, or its successor (formerly Woodville High School, Woodville, and Elmore High School, Elmore, Ohio). Third preference is for graduates of any high school in Sandusky County, Ohio. The University Office of Student Financial Aid will select recipients upon consultation with high school and University faculty and administrators to ensure selection criteria for initial and renewal awarding are met. Unused income shall be returned to endowment principal.

A former resident of Jacksonville, Florida, Isabelle Price Barbee (B.S.H.E. '19) was born on February 20, 1896, in Woodville, Ohio, and attended Waite High School in Toledo. She was active in the Audubon Club, the Easter Seal Center and the American Red Cross. Her career included newspaper work in Detroit and Wyoming and as executive secretary of the Casper, Wyoming, chapter of the American Red Cross. A life member of The Ohio State University Alumni Association, Isabelle died on November 25, 1988.

A former resident of Woodville, Ohio, Sanford "Sandy" G. Price (B.S.Agr. '19), was born on October 22, 1894, in Woodville and attended Old Central High School in Toledo. He operated a farm and dairy and ran his family's Gibsonburg Lime Products Company, first as vice president and general manager and then as president and chairman before its 1964 sale to Pfizer, Inc. On numerous trips to Sierra Leone, Africa, he assisted developing communities with building projects, schools, and farming.

An extraordinary advocate for higher education and The Ohio State University, Sandy shared his time and talents recruiting students in Sandusky, Ohio, the State of Ohio, and Sierra Leone. At The Ohio State University, he was a member of Alpha Zeta Professional Agriculture and Honorary Fraternity and Delta Gamma Honorary. He maintained continuous contact with college deans, particularly in nursing and agriculture, to suggest and demonstrate effective ways to promote, recruit and support students. He maintained continuous contact with Coach Woodrow Hayes and recruited student athletes from Sandusky County. He valued academic achievement and supported numerous students by providing and helping them to obtain scholarships. He provided leadership and service for many decades in a variety of state and community organizations. A recipient of The Ohio State University Alumni Association's Alumni Citizenship Award in 1974 and its Alumni Centennial Award in 1970, Sandy died on June 24, 1982.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Sanford G. Price and Isabelle Price Barbee Scholarship Fund (contd)

be designated by the Foundation Board in consultation with the appropriate program administrative officers in the Office of Student Financial Aid and with the heirs of William H. Price II, in order to carry out the desire of the donors.

\$1,000,000.00

The Herb and Dee Dee Glimcher Visiting Professorship Fund

The Herb and Dee Dee Glimcher Visiting Professorship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, from the generous support of Herb and Dee Dee (B.S.Land.Arch. '88) Glimcher.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income and additional gifts shall be added to the principal until the minimum level necessary to establish an endowed professorship has been reached. Subsequently, the annual interest income shall be used to provide support for the work of the Distinguished Visiting Landscape Architectural Design Professor as recommended by the Section Head for Landscape Architecture and the Director of the Knowlton School of Architecture through the Dean of the College of Engineering to the Provost, and approved by the Board of Trustees of The Ohio State University. The Glimcher Architecture Professor, a nationally or internationally acclaimed landscape architectural designer, will teach design studios, interact with faculty and students, make public presentations and provide additional guidance to the development of the Chadwick Arboretum.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of the Knowlton School of Architecture and the Landscape Architecture Section Head, or program administrative officer in order to carry out the desire of the donors. It is the desire of the donors that this fund only be utilized by landscape architecture, not the College of Engineering or other administrative units where landscape architecture may be associated.

\$325,000.00

The Mary M. Yost Scholarship Fund

The Mary M. Yost Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to The Ohio State University Foundation from Mary M. Yost (Ph.D. '49), Professor Emeritus, College of Education.

Dr. Yost had a long and distinguished career at Ohio State and was regarded with the highest esteem by her students and colleagues. Dr. Yost taught physical education at The Ohio State University from 1937 until she retired in 1982, and then continued to teach following her retirement. She was a retired LCDR, U.S. Navy. She is known for her deep concern for students and their learning.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Mary M. Yost Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide a full scholarship for one year to a citizen of Costa Rica who is interested in the areas of sport and exercise science, sport and leisure studies or related fields. A statement regarding full procedures for identification and selection of the recipient is available in the files of the College of Education. The income shall provide full tuition, room and board and other related expenses, including travel from Costa Rica, for one complete academic year (four quarters). The student may study at either the graduate or undergraduate level, and does not need to be seeking a degree. The award is non-renewable, except in the case of illness or other special circumstances.

Selection of the Yost Scholar will be made annually by the Dean of the College of Education or designee, in consultation with faculty from Costa Rica and faculty in Sport and Exercise Science, and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Education in order to carry out the desire of the donor.

\$157,182.21

The Lida Shriver Nursing Scholarship and Research Fund

The Lida Shriver Nursing Scholarship and Research Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ralph Kent (B.S. Accounting '37) of Harbor Springs, Michigan, and Longboat Key, Florida, on the occasion of Betty Kent's 80th birthday and in honor of Betty's favorite aunt, Anna Lida Shriver, who completed her nurses training through Grant Hospital and continued as a nurse at Grant throughout her career.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support high ability undergraduate students enrolled in the College of Nursing. Each year the income will be divided between undergraduate scholarships and research awards. Scholarship recipients shall be high ability students with a GPA of 3.5 or above. Research awards will be granted to honors students completing their senior year thesis. Recipients will be selected by the Dean of the College of Nursing or his or her designee. This fund will be administered by the College of Nursing in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$116,125.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Gerald and Elizabeth Williams Undergraduate Honors Program Fund

The Gerald and Elizabeth Williams Undergraduate Honors Program Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gerald R. (B.S.Bus.Adm. '60) and Mary Moffatt Williams (B.S.Ed. '60) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships and to provide program support funds to enhance communications skills, seminars, corporate interactions such as projects and other facets of the Undergraduate Honors Program in The Max M. Fisher College of Business. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$34,312.50

The Jack W. Kennedy Scholarship Fund

The Jack W. Kennedy Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends of Jack W. Kennedy.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship(s) to undergraduate students in The Max M. Fisher College of Business with financial need. Selection shall be made by the Dean of the Fisher College in consultation with the College Selection Committee and with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$33,243.65

Russell Klein Innovation Fund Endowment

The Russell Klein Innovation Fund Endowment was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Russell Klein.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Russell Klein Innovation Fund Endowment (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Dean of the Fisher College of Business to fund new projects and activities which further the interests of the College. Allocations from this fund will act as catalysts for new program developments and be based on competitive review processes.

It is the desire of Russell Klein that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of Russell Klein.

\$32,908.35

Richard and Margaret Knowlton Scholarship Fund

The Richard and Margaret Knowlton Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid, from Mr. and Mrs. Richard Knowlton, Bellefontaine, Ohio, and Hilton Head, South Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the office of Student Financial Aid to be used to fund one or more scholarships for worthy undergraduates on any Ohio State University campus, with financial need, from Logan County, Ohio. Scholarships are renewable upon satisfactory progress toward a degree.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of the Office of Student Financial Aid in order to carry out the desire of the donors.

\$31,080.00

**The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg,
Endowment for Cancer Research Fund**

The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg, Endowment for Cancer Research Fund for the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Anita and Michael Goldberg Family, the Rite Rug Company, and the Duke Goldberg Family Foundation of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg,
Endowment for Cancer Research Fund (contd)

The annual income shall be used to support cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute as approved by the Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$30,000.00

The George W. Broughton Natural Resources Program Fund

The George W. Broughton Natural Resources Program Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George W. Broughton (B.S. '80; M.S. Agricultural Economics '81), of Marietta, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support natural resources programming via Ohio State University Extension in southeast Ohio. The income shall be used to promote and conduct educational outreach programs in natural resources subjects, and shall include support for teaching and educational materials, publications, and awards and honors, based on proposals submitted by county and district extension personnel. Selection shall be made by a committee convened at least annually by the Extension Specialist and/or District Director in case of a vacancy, who is directly responsible for natural resources programming in The Ohio State University District that includes Washington County.

The committee will include George Broughton or his designee, and shall select appropriate programs based on competitive proposals, with first preference being for those in Noble, Washington, Monroe, and Morgan Counties. The programs funded by this endowment shall be directed by the Extension Specialist and/or the District Director in case of a vacancy, in The Ohio State University Extension District that includes Washington County who is responsible for natural resources programming, in consultation with the District Director for The Ohio State University Extension District that includes Washington County, or their designees. George Broughton or his designee shall be informed annually of the uses of the earned income. Should funds be left unused after June 30 each year, they shall be directed to the Washington County 4-H program and used as directed by the Washington County 4-H Agent for scholarships, or for programs that advance 4-H members in Washington County.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The George W. Broughton Natural Resources Program Fund (contd)

be designated by the Foundation Board in consultation with the Director of Ohio State University Extension, or their successor, in consultation with the Vice President for Agricultural Administration in order to carry out the desire of the donor.

\$25,500.00

The John Glenn Institute Endowment Fund

The John Glenn Institute Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and admirers of Senator John Glenn.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the programs and activities of The John Glenn Institute for Public Service and Public Policy.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of the Institute in order to carry out the desire of the donors.

\$25,100.00

The Paul H. Rofkar Scholarship Endowment Fund

The Paul H. Rofkar Scholarship Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul H. Rofkar (B.S.Agr. '38; B.S.Agr.Eng. '39) to The Ohio State University College of Food, Agricultural, and Environmental Sciences.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for merit scholarships, and will be restricted to qualified students who have maintained at least a 3.5 GPA, demonstrated leadership, with goals related to the College of Food, Agricultural, and Environmental Sciences, and who are enrolled in the Department of Food, Agricultural, and Biological Engineering. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Vice President for Agricultural Administration, and the Chair of Department of Food, Agricultural, and Biological Engineering in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The William and Dolly (Ardath) Saxbe Endowed Fund for the Arts

The William and Dolly (Ardath) Saxbe Endowed Fund for the Arts was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from William B. and Ardath L. (Dolly) Saxbe of Mechanicsburg, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the Dean of the College of the Arts, to support the priorities and initiatives of the College. Mr. and Mrs. Saxbe will be apprised annually of the disposition of the income, and where appropriate, will be acknowledged publicly for their support of the arts at Ohio State.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean of the College of the Arts in order to carry out the desire of the donors.

\$25,000.00

The Carl F. Mayer '09 Scholarship Fund

The Carl F. Mayer '09 Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his son, Mr. Charles G. Mayer (B.S.Ed. '40; M.A.Ed. '47), in tribute to his father's memory.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship in the Knowlton School of Architecture in the name of Mr. Carl F. Mayer. Mr. Mayer, a 1909 graduate of the School of Architecture at The Ohio State University, served the University as a staff architect in the University Architect's office for 19 years and provided significant structural engineering input into the construction of Ohio Stadium.

The scholarship will be awarded annually to a young man or woman in the architecture section at the end of his or her sophomore year. The scholarship is to be given to a student who shows marked talent for architectural design, has maintained at least a 3.5 grade point average, and demonstrates financial need. The recipient will be selected by the Director of the Knowlton School of Architecture in consultation with the Architecture Scholarship Committee and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of Mr. Charles G. Mayer.

\$22,400.00
(Grandfathered)

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 99-78

October - December, 1998

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Administration, or for the Hospitals of the University and their related facilities, the Associate Vice President for Health Services and Executive Director of University Hospitals, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of October - December, 1998; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 24 waivers of competitive bidding requirements for annual purchases totaling approximately \$2,835,700, as shown on the attached exhibit; and

WHEREAS during the period covered, the Associate Vice President for Health Services and Executive Director of University Hospitals, at the requests of the Hospitals of the University and their related facilities making purchases, granted 34 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,701,300, as shown on the attached exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of October - December, 1998 is hereby accepted.

(See Appendix XXVIII for chart, page 617.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 99-79

ROBINSON LABORATORY REPLACEMENT
BOTANY AND ZOOLOGY RENOVATION
PAGE HALL RENOVATION
LIBRARY BOOK DEPOSITORY – PHASE II
PHYSICAL SCIENCES RESEARCH BUILDING
HAGERTY HALL REHABILITATION
SISSON HALL REPLACEMENT
OARDC – FEED MILL REPLACEMENT
ATI – EQUINE CENTER
LIMA – GALVIN HALL THIRD FLOOR RENOVATION
MANSFIELD – FOUNDERS HALL AUDITORIUM RENOVATION
ATI – HORTICULTURE OPERATIONS CENTER PHASE I
NATURAL HABITAT RESEARCH IMPROVEMENTS PHASE III
SCIENCE AND TECHNOLOGY PROJECT
JACK NICKLAUS MUSEUM

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

Synopsis: Authorization to employ an architect/engineering firm for the Robinson Laboratory Replacement, Botany and Zoology Renovation, Page Hall Renovation, and Library Book Depository – Phase II projects; to request construction bids for the Physical Sciences Research Building, Hagerty Hall Rehabilitation, Sisson Hall Replacement, and OARDC Feed Mill Replacement projects; and to employ an architect/engineering firm and request construction bids for the ATI – Equine Center, Lima – Galvin Hall Third Floor Renovation, Mansfield Founders Auditorium Renovation, ATI Horticulture Operations Center Phase I, Natural Habitat Research Improvements Phase III, Science and Technology Project, and Jack Nicklaus Museum projects is requested.

WHEREAS the University desires to proceed with the design to demolish the existing Robinson Laboratory and construct new space for the Mechanical Engineering Department on the Robinson Lab site; and

WHEREAS the total estimated project cost and the total estimated construction cost will be determined as a part of the planning process, with planning funds provided in House Bill 850 (\$1,000,000) and construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the design to renovate the Botany and Zoology Building for use by the College of Biological Sciences and other academic areas; and

WHEREAS the total estimated project cost and the total estimated construction cost will be determined as a part of the planning process, with planning funds provided in House Bill 850 (\$1,700,000) and construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the design to renovate Page Hall following the relocation of the Fisher College of Business for use by academic units as yet undetermined; and

WHEREAS the total estimated project cost and the total estimated construction cost will be determined as a part of the planning process, with planning funds provided in House Bill 850 (\$700,000) and construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the construction of the second of five high bay storage modules designed to provide economical and environmentally beneficial storage of low activity library books and materials; and

WHEREAS this Board approved proceeding with design of this project on November 1, 1996; and

WHEREAS the total estimated project cost is \$2,000,000 and the total estimated construction cost is \$1,697,500, with funding provided by the Ohio Board of Regents; and

WHEREAS the University desires to proceed with the demolition of the existing Welding Engineering Building and the construction of a new facility to house the Department of Physics; and

WHEREAS this Board approved proceeding with design of this project on November 1, 1996; and

WHEREAS the total estimated project cost is \$50,000,000 and the total estimated construction cost is \$41,010,500, with planning funds provided in House Bill 748 (\$4,000,000), a portion of the construction funds provided in House Bill 850 (\$20,000,000) and the balance of the construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the rehabilitation of Hagerty Hall to house a portion of the College of Humanities; and

WHEREAS this Board approved proceeding with the design of this project on November 1, 1996; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the total estimated project cost is \$20,500,000 and the total estimated construction cost is \$15,491,795, with planning funds provided in House Bill 748 (\$1,000,000), a portion of the construction funds provided in House Bill 850 (\$18,500,000) and the balance of project funds provided by gifts to the College of Humanities (\$1,000,000); and

WHEREAS the University desires to proceed with demolition and replacement of a portion of Sisson Hall for the College of Veterinary Medicine; and

WHEREAS this Board approved proceeding with the design of this project on November 1, 1996; and

WHEREAS the total estimated project cost is \$21,400,000 and the total estimated construction cost is \$15,990,690, with planning funds provided in House Bill 748 (\$1,600,000), a portion of the construction funds provided in House Bill 850 (\$17,600,000), and the balance of project funds provided by gifts to the College of Veterinary Medicine; and

WHEREAS the University desires to proceed with the design and construction of a new feed mill with raw ingredient storage and feed processing equipment on the OARDC campus; and

WHEREAS the Legislature of the State of Ohio has approved a project delivery method of design/build for this project in House Bill 215; and

WHEREAS the total estimated project cost is \$5,800,000 and the total estimated design/build cost is \$5,022,422, with planning funds provided in House Bill 748 (\$300,000) and the balance of project funds provided in House Bill 850 (\$5,500,000); and

WHEREAS the Agricultural Technical Institute desires to construct a 52-stall barn, breeding area, offices and arena barn with stalls with doors, windows, gates, lighting, and hay racks; and

WHEREAS the total estimated project cost is \$1,002,908 and the total estimated construction cost is \$867,000, with funding provided by House Bill 748 ATI Basic Renovation (\$430,321), House Bill 790 ATI Supplemental Renovation (\$125,000), House Bill 808 ATI Line Item (\$88,587), House Bill 850 Regional Campus Basic Renovation (\$147,000), and Agricultural Technical Institute funds (\$212,000); and

WHEREAS the Lima Campus desires to proceed with the renovation of the 3rd floor of Galvin Hall to provide additional classroom space; and

WHEREAS the total estimated project cost is \$1,118,330 and the total estimated construction cost is \$921,682, with funding provided in House Bill 850; and

WHEREAS the Mansfield Campus desires to proceed with the renovation of the Founders Auditorium in Ovalwood Hall; and

WHEREAS the total estimated project cost is \$1,184,500 and the total estimated construction cost is \$875,000, with funding provided by House Bill 748 (\$14,000), House Bill 850 (\$850,000), North Central Technical College (\$50,000), and Mansfield Campus (\$270,500); and

WHEREAS the Agricultural Technical Institute desires to construct a facility to provide direct academic support to horticulture students; and

WHEREAS the total estimated project cost is \$2,300,000 and the total estimated construction cost is \$1,798,715, with funding provided by House Bill 850 (\$1,560,000), ATI funds (\$40,000), future biennium capital appropriation (\$700,000); and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the University desires to proceed with additional animal facilities for the International Association for the Preservation of Wild Animals, Inc. (The Wilds), a pass-thru project; and

WHEREAS the total estimated project cost is \$2,200,000 and the total estimated construction cost is \$2,167,000, with funding provided by House Bill 850; and

WHEREAS the University desires to proceed with construction of the Science and Technology Project, a pass-thru project; and

WHEREAS the total estimated project cost is \$4,000,000 and the total estimated construction cost is \$3,940,000, with funding provided by House bill 850; and

WHEREAS the University desires to proceed with the construction of Jack Nicklaus Museum, a pass-thru project; and

WHEREAS the total estimated project cost is \$1,500,000 and the total estimated construction cost is \$1,477,500, with funding provided by House Bill 850:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Robinson Laboratory Replacement, Botany and Zoology Renovation, Page Hall Renovation, ATI – Equine Center, Lima – Galvin Hall Third Floor Renovation, Mansfield Founders Auditorium Renovation, ATI Horticulture Operations Center Phase I, Natural Habitat Research Improvements Phase III, Science and Technology Project, and Jack Nicklaus Museum projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Library Book Depository – Phase II, Physical Sciences Research Building, Hagerty Hall Rehabilitation, Sisson Hall Replacement, OARDC Feed Mill Replacement, ATI – Equine Center, Lima – Galvin Hall Third Floor Renovation, Mansfield Founders Auditorium Renovation, ATI Horticulture Operations Center Phase I, Natural Habitat Research Improvements Phase III, Science and Technology Project, and Jack Nicklaus Museum projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXIX for maps, page 619.)

AUTHORIZATION FOR 1999 SMALL CAPITAL IMPROVEMENT PROJECTS

Resolution No. 99-80

Synopsis: Acceptance of the listing of anticipated 1999 capital improvement projects, each estimated at less than \$1,000,000, and authorization for the University to proceed with these projects, with subsequent reporting to the Board's Fiscal Affairs Committee are proposed.

WHEREAS consistent with the fiduciary duty of the Board of Trustees regarding project oversight and approval, the delegation of authority to proceed with projects specified in the attached list is designed to expedite the University's ability to move forward with capital projects on a timely basis and ensure the most effective use of University resources; and

AUTHORIZATION FOR 1999 SMALL CAPITAL IMPROVEMENT PROJECTS (contd)

WHEREAS the Board has received the attached listing of capital improvement projects estimated at a total project cost of less than \$1,000,000 each that are anticipated and/or needed to be undertaken during 1999, subject to scheduling and funding availability; and

WHEREAS the President has recommended that the University be permitted to undertake, in accordance with established procedures, those listed capital improvement projects without additional Board authorization of each such project:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized, without any additional authorization or approval from this Board being necessary, to select qualified architectural and/or engineering firms as necessary, with the fees for these services to be negotiated between the firms selected and the University, and to request construction bids in accordance with established University procedures, and if satisfactory bids are received, to award contracts for any capital improvement project on the attached 1999 listing of projects estimated at less than \$1,000,000; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration shall submit reports as appropriate to the Fiscal Affairs Committee for any such project undertaken pursuant to this authorization in which the total actual project cost exceeds \$1,000,000 and shall present to the Board an annual report on capital improvement projects completed, under construction, or in planning or design during 1999 and a written report to the Fiscal Affairs Committee of this Board on all contracts awarded pursuant to this authorization.

(See Appendix XXX for maps, page 635.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-81

219-221 WEST TENTH AVENUE
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of the improved real property at 219-221 West Tenth Avenue, Columbus, Ohio, is proposed.

WHEREAS The Ohio State University has been presented with the opportunity to purchase the improved real property located at 219-221 West Tenth Avenue, Columbus, Ohio; and

WHEREAS the property has an average appraised value of \$177,500, and the owner, Bennett Cooper, of Worthington, Ohio, has agreed to sell the property to the University for \$175,000; and

WHEREAS the property is located within the South Campus acquisition area of the University's Master Plan and the appropriate University offices have determined that purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from the Land Purchase Account:

NOW THEREFORE

PURCHASE OF REAL PROPERTY (contd)

219-221 WEST TENTH AVENUE
COLUMBUS, OHIO (contd)

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the improved real property located at 219-221 West Tenth Avenue, Columbus, Ohio, for a price not to exceed \$175,000 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix XXXI for background information and map, page 639.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-82

249 WEST TENTH AVENUE
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of the improved real property at 249 West Tenth Avenue, Columbus, Ohio, is proposed.

WHEREAS The Ohio State University has been presented with the opportunity to purchase the improved real property located at 249 West Tenth Avenue, Columbus, Ohio; and

WHEREAS the property has an average appraised value of \$101,000, and the owner, Jeffrey Sturgeon, of Columbus, Ohio, has agreed to sell the property to the University for \$98,000.00; and

WHEREAS the property is located within the South Campus acquisition area of the University's Master Plan and the appropriate University offices have determined that purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from the Land Purchase Account:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the improved real property located at 249 West Tenth Avenue, Columbus, Ohio, for a price not to exceed \$98,000.00 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix XXXII for background information and map, page 641.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-83

**2.19 ACRES ON KINNEAR ROAD
COLUMBUS, OHIO**

Synopsis: Authorization to purchase approximately 2.19 acres of unimproved real property located on the south side of Kinnear Road bordering University property at 1275 Kinnear Road is proposed.

WHEREAS The Ohio State University has been presented with an opportunity to acquire 2.19 acres of unimproved property owned by CSX Transportation, Inc., which has discontinued rail service in the West Campus area and desires to dispose of its rights of way, both owned and held by easement; and

WHEREAS this property has an appraised value of \$100,000, and CSX has offered to sell the property to the University for \$92,500; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from the University properties research park account;

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, 2.19± acres of unimproved land adjacent to and east and south of 1275 Kinnear Road at a price not to exceed \$92,500 and to acquire railroad right-of-way easement rights in the Kinnear Road area, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary updated approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XXXIII for background information and map, page 643.)

1998-99 SECOND QUARTER CURRENT FUNDS BUDGET REPORT

Resolution No. 99-84

Synopsis: The revised Current Funds Budget for the second quarter of Fiscal Year 1998-99 is being submitted for adoption.

WHEREAS the University's enrollments for Summer and Autumn Quarters are now known; and

WHEREAS the mix of those enrollments will cause an adjustment in the University's student fee revenues; and

WHEREAS the cost of the University's Buckeye Scholarship Program is now known:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources and expenditure budgets be adjusted to reflect these changes; and

1998-99 SECOND QUARTER CURRENT FUNDS BUDGET REPORT (contd)

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of this University.

(See Appendix XXXIV for background information, page 645.)

Upon motion of Mr. Colley, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

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**THE OHIO STATE UNIVERSITY
ESTABLISHMENT OF AN ALTERNATIVE RETIREMENT PLAN**

Resolution No. 99-85

Synopsis: Authorization for The Ohio State University to establish an Alternative Retirement Plan pursuant to the Ohio Revised Code Section 3305, is proposed.

WHEREAS Ohio law provides that public colleges and universities establish Alternative Retirement Plans for eligible faculty and unclassified staff members; and

WHEREAS the Ohio Department of Insurance has designated eight companies to serve as plan providers for the Alternative Retirement Plan; and

WHEREAS the University desires to implement an Alternative Retirement Plan to provide the maximum flexibility to its eligible faculty and unclassified staff, thereby enhancing recruitment and retention:

NOW THEREFORE

BE IT RESOLVED, That effective today, The Ohio State University Alternative Retirement Plan in substantially the form attached hereto as Exhibit A and incorporated herein by this reference, be hereby established; and

BE IT FURTHER RESOLVED, That the Office of Human Resources and the Office of Legal Affairs are hereby authorized and directed to take such further action as may be necessary or advisable to implement this resolution, including, but not limited to, entering into agreements with designated provider companies; and

BE IT FURTHER RESOLVED, That the University will contribute to the Provider selected by an Employee electing to participate in the alternative retirement program, an amount equal to the amount which the University would have contributed to the respective state retirement system in which the Employee would participate, less the amount specified in Section 3305.06(E) of the Ohio Revised Code; and

BE IT FURTHER RESOLVED, That amounts withheld through payroll deduction from the salary of an Employee electing to participate in the alternative retirement program are designated as being picked-up and paid by the University as employer contributions under the relevant provision of Section 414(h) of the Internal Revenue Code of 1986, as amended.

Upon motion of Judge Duncan, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution with seven affirmation votes, cast by Messrs. Celeste, Colley, Brennan, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger, and an abstention cast by Mr. Patterson.

(See Appendix XXXV for background information, page 651.)

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EDUCATIONAL AFFAIRS COMMITTEE MEETING

Mr. Colley:

Today, the Educational Affairs Committee is meeting as a committee of the whole. You will remember, when Ed Sullivan presented the Beatles to the American television audience back in the early sixties, he said, "Tonight we've got a really big shoo." Well today, we don't have Ed Sullivan, we don't have the Beatles, but we have a really big show and Dr. Edward Ray, our Provost, is going to present that show in words and pictures.

ACADEMIC ENRICHMENT AWARDS PRESENTATION

Provost Edward J. Ray: [video presentation]

Thank you, Mr. Colley. A little over 5 years ago, the University was dealing with some financial difficulties and a number of colleagues argued that even in the worst of times, while we are learning how to deal with some tough situations, we ought to be building things, we ought to be creating programs, and we ought to be undertaking new initiatives. It is really out of those conversations that the Office of Academic Affairs and the Office of Research began to create what has come to be known as the Academic Enrichment Program.

We have provided funding for a number of initiatives from our offices in collaboration with the colleges and departments in each of the last five years. The Academic Enrichment Program supports initiatives both inside and outside the classroom. If there are common elements to these programs, they are that they are often interdisciplinary in nature, cutting across many different areas and that they are competitively judged by faculty and staff at the University. It is on that basis that selections are made.

Our presentation this morning is divided into two parts. The first part will give several examples of programs that have received academic enrichment funding in the past and give you a sense of -- in the voice of those who are managing those resources -- how Academic Enrichment has made a difference for their efforts. In the second part of the program, Professor and Interim Vice President Bud Baeslack will introduce several distinguished colleagues who have received Academic Enrichment funding for this year. They will talk about the ways in which they plan to use those resources. So if we can, let's start the video.

[Video presentation]

Dr. William A. Baeslack III:

Good morning. This year we have awarded 19 Academic Enrichment Programs, totaling over \$1.5 million. I hope that you have had a chance to look at this newspaper that describes them. I think, as Ed has indicated, it is important to note that these are programs that both enrich the disciplinary areas and, in many cases, programs that support and promote interdisciplinary work between our colleges jointly and between departments. We feel that is very important at Ohio State.

We have asked three of the individuals who have received these awards to say a few words about each of their programs this morning. They are: Professor Christian Zacher, Department of Comparative Studies in the College of Humanities, will talk about the proposed Institute for Collaborative Research and Public Humanities; Professor Karen Bell, chair of the Department of Dance, will discuss New Media Technologies in the Arts program; and Professor Bob Gustafson, associate dean

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Dr. Baeslack: (contd)

of the College of Engineering, will describe a new initiative in Ecological Engineering.

Professor Christian K. Zacher:

My colleagues in the College of Humanities and I are grateful to the Board for the opportunity to talk about our new institute and to the Provost's office which awarded the Academic Enrichment funding that let us really launch our program. A few years ago when Dean Hall and the faculty of Humanities decided that the College could benefit from establishing a humanities institute, they did so not just to play catch up with our peer institutions who had such entities, but for three more compelling reasons.

First, we thought that such an institute might help gather our departments and centers into a more cohesive college, especially if we encouraged humanists to try to do what they don't often do, work collaboratively and in more interdisciplinary ways, developing research agendas and outcomes together. With that in mind, we chose the large topic of narrative for this year's collective focus. Through a competition we selected fellows from various fields to collaborate in talking and writing about this topic. Next year's topic for a new set of fellows will be institutions of memory. Our further aim, by the way, is to interest faculty from other parts of the campus to join us in our scholarly discussions. We are fully aware that language, literature, history, culture, religion and philosophy -- the human preoccupations that we study -- are not only to preserve humanists in our college.

Second, we thought that the institute could provide the organized support that would let us more effectively reach out with our humanities programming to the citizens of Ohio. As we all know, Outreach is both more expected, but more possible at a large public land-grant university, and we have devoted a lot of our energy to these efforts in the past year.

Jointly with the Ohio Humanities Council, we are creating a traveling chautauqua show for the State of Ohio. Next July it will feature scholar actors performing well-known American humorists, two of them Ohioans. Through the generosity of the Ohio Historical Society, it will be held at Ohio Village. In future years, the chautauqua will travel around the state. We are also putting together teams of OSU faculty and students to assist ethnic communities in Ohio in examining the ways they preserve their cultures through what are called heritage schools.

Secondly, what will probably be our most visible undertaking in the education of our general public, we have been invited to produce an encyclopedia of the Midwest to be published by Indiana University Press. It will probably be a 1,500 page compendium that we are sure will help people better know what and where the Midwest is and bring special attention to Ohio State and this institute. Professor Richard Sisson, co-editor, serves as the guiding light of this project. We have begun fund raising and grant seeking for these outreach and scholarly efforts.

Third and last, we also realize that the location Dean Hall chose to lease for us as our facility -- the old visiting faculty lodge next to the Mershon Center -- suddenly offered us the unexpected chance to try and create a living-learning community. Resident students that we selected and faculty can interact intellectually in ways that the ordinary classroom experience doesn't allow. We've begun designing that environment hoping eventually to create a year-long credit course composed of all

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Zacher: (contd)

the academic work that gets done by the students who are engaged there with research faculty.

We have other ideas and plans up our sleeves and we're open to suggestions from anyone. But if someone asks me what the institute will be known for years down the road and what it will have done for its constituents, I would predict the following few things.

First, it would become home to one of the ten or so new federally-funded regional humanity centers in the country. Second, we will have a growing endowment fueled chiefly by the sizeable royalties predicted from this encyclopedia. Third, we may have become famous for sponsoring the one course in the history of The Ohio State University taught by a collaborative variety of OSU faculty and staff. It might, in fact, reach off campus as well through distance learning and maybe even through holographic projection available to our far flung alumni and friends and other students from Cleveland to Naples, Florida, to Los Angeles, and around the globe. Fourth, our traveling chautauqua will become a fixture in Ohio's summer cultural life.

We think people will skip T.V. and movies and Indians and Reds games to come to it. And, lastly, I think we'll be known as a humanities think tank that students and scholars from everywhere will want to be a part of. Thank you.

Professor Karen A. Bell: [video presentation]

I want to first give you some background on the Dance Department and some of our goings on these last fifteen years. What you're about to see is some labanotation. Labanotation is a symbol system for reading and writing dance. What you're about to see are three staffs and with these three staffs each of them represent a person. The symbols represent level, direction, shifts of weight, and body parts. It's a whole language unto itself.

In the mid-1980's, we began our foray into technology with the creation of a software called Labanwriter. At that time, labanotation was written out by hand, it used to be much like musical scores. Since our creation of this software, the Labanwriter, you can now click a mouse and facilitate the notation. In the nineties we began work on multimedia documentation and that multimedia notation then linked labanotation. This is a very detailed, complex language of writing with video so you can see the big picture with the video and the specifics of it with labanotation. You then could see the floor plan and the musical scores linked.

The other thing that you'll see along with this documentation, took us into the next step -- labanotation. So, again, you see that all of these symbols represent different body parts. You look at the staff and the inside of the staff shows the support system and the steps that you're taking. As you move to the outside of the staff, the different body parts -- whether it's the arms, fingers, or head -- are very detailed. And then you can see here that it's linked with the video. So what's happening on the video is also happening with the score. Then, also, the floor plan and the musical score are also linked with that.

These particular screens are from a multimedia CD-rom that we produced on the work of Victoria Uris. She is a nationally-known artist and on our faculty. There are over 300 screens that contextualize and document her work. She is not only a choreographer, but a videographer. Here's an example of a video work that she created. You may recognize this. The quality is not so great, but that's the Wexner Center corridor there where they are dancing.

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Bell: (contd)

The success of this work, which was funded by the PEW Charitable Trusts, the Office of Research, and the College of the Arts, allowed us to build on our strengths in documentation and this year to receive the department's largest grant to continue in this area. Here you're seeing a three camera shot. With the click of a mouse you can see the dance at three different angles allowing for more complete documentation.

Next you're going to see a variety of schematics that show you our next step. We are now looking at documenting work even more fully with a nine camera shot, with documenting work from the inside out. Having a camera in the center and above the dancers allows for a virtual reality field. We hope to continue to explore some of these ideas with motion capture processes that will further our investigations down the road.

These experiences in documentation lead to exploration of instructional CDs and here you see an example of a ballet faculty member's CD that supplements information from her class. Students can see here and read more about a particular technical skill and movement concept. You can imagine that in our field the moving image plays a crucial role in the communication of ideas, form, and content. This allows students to go in and at their leisure, work with some of these ideas more in-depth.

Most exciting is the use of computer technology and creative work. Here is an example of a dance created through the software where the figure is, as you can see, manipulated, stretched, twisted, squashed, and turned. Again, the idea of motion capture comes into play down the road as you can put sensors on a live body, feed that into the computer, and then see the animated figure. This obviously is not a live body. This has implications for creative work and documentation.

Lastly, you see some photos of a recent multimedia graduate student project where the student created an environment with projections on two sides -- this was in a studio -- and a mirror on the third side that the projections bounced off of back to the other side. It was really quite exciting as he danced with his own projections and computer-generated figures. He made all of this in our new computer lab that was funded by UTS and the department that we got last year.

Until this year, we've done all of this with two part-time incredibly dedicated staff people. Academic Enrichment has allowed us a full-time line that we filled this year, allowing us to continue focus on research projects. We're currently searching for a faculty member who will have a joint appointment in Dance and ACAD, and that will help us further develop curriculum and push creative boundaries using dance technologies. We're also beginning to update some of our ten-year-old equipment, which is a thrill.

The work of the last fifteen years enables us to be well poised for the future. We're excited to be invited back as part of the College's Selective Investment proposal which requests funding for motion capture and to bring in professional quality video digital personnel. We're really looking forward to all that the future holds for us and thanks for the Academic Enrichment.

Professor Robert J. Gustafson: [video presentation]

Good morning. I'm the third element of these three segments and I appreciate the opportunity to present, on behalf of my colleagues, developing ecological engineering areas. These being my colleagues in the Department of Food,

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Gustafson: (contd)

Agricultural and Biological Engineering, the School of Natural Resources, and the Department of Civil and Environmental Engineering and Geodetic Sciences.

A brief definition of engineering -- it involves the application of quantitative science through design to solve problems for the benefit of human kind. Specific engineering disciplines are often named either by the science which underpins them, like Chemical Engineering or Biological Engineering, or the industry they serve such as aeronautical engineering and civil engineering. Each has a uniqueness and benefits to justify the development of a separately-defined discipline structure.

We at Ohio State are making a bold statement in declaring that we think the science of ecology and its application through engineering is worthy of the development of such a status and that we're willing to commit our best effort to make it happen. The Ohio State University has a significant number of scientists and engineers who have been working with ecological principles for many years. In a moment, I'm going to share with you a brief segment of a video that highlights one of our current persons, Dr. William Mitch from the School of Natural Resources.

For the Board packets, you've hopefully found three items regarding ecological engineering. One is an ad placed in *Science Magazine* for the filling of three faculty positions. The impact of that ad itself is very significant in making a statement about our commitment to this particular area. Furthermore, the fact that we're running one search process with one search committee for three positions across two colleges and three tenure initiating units has not been lost on our colleagues either. This is a unique collaboration and it is what will make this venture work. I am not saying it's easy, but it's unique and we're working hard at it.

A second item is an announcement of a workshop we're hosting, hopefully to be cosponsored by the National Science Foundation, the stated goal of which is to plan the future development of ecological engineering in U.S. academic institutions. We are inviting others we know and who are known to have an interest and capacity to collaborate in this initiative. We want to think it through to do it right, to make this a world-wide and sustainable movement. Many issues need to be addressed to meet the ultimate goal of having a separately accredited ecological engineering program.

For those of you who might want to have more in-depth background, there is a description of a potential field in an article included in your packet. The article is by Dr. Mitch and was published in the *Ecological Engineering Journal* of which he is the founder and chief editor. To give a more visual summary, I have selected three minutes from a recent thirty-minute production, not by Ohio State University, but by the Information Television Network for National Public Television. If we could have that segment please.

[Video presentation]

Other segments of this tape show work in the northeast marshland, the Everglades of Florida, water fowl habitat in the lower Mississippi, electrical transmission line and right-of-way work. We have been very heavily involved here at Ohio State in conservation tillage for soil and environmental management. Although the tape itself is very U.S.-centered, very significant applications world-wide cry out for addressing through the concepts of ecological engineering.

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Gustafson: (contd)

We are pleased that the ecological engineering academic enrichment proposal was selected for funding, we are committed to doing our best with this investment, and we hope in a few years we will be back showing you one of those star performances at Ohio State University. Thank you.

Vice President Baeslack:

I'd like to thank Professors Zacher, Bell, and Gustafson for their interesting presentations. If the audience has any questions, they'd be pleased to address those at this point.

[Questions/answers from audience]

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ANNUAL ARCHITECT'S PRESENTATION

Ms. Jill Morelli: [slide presentation]

We're happy to bring you the seventh annual report of the construction activities for The Ohio State University for 1998. I also wish to cite the very hard work of many people at the University, including: Jean Frey, Lori Seeger, Julie Karovics, Dan Okoli, Laura Shinn, Robert Haverkamp, Eric Kunz, and Renny Komula, and the leadership of Janet Ashe in bringing this report to you today and in your Board materials.

There has been a steady growth in the construction activities at Ohio State University, but first of all it seems appropriate to look at the growth over time. Presently, we have 121 projects in-design or under construction for a dollar volume of \$581 million. For the purposes of this slide, emerging projects are shown in yellow and we have the green representing projects in-design. The gold are projects under construction and the red represents projects that have been completed this past year. The annual report and this presentation are also organized in these same four categories.

The Office of Resource Planning and Institutional Analysis takes the lead for the emerging projects. These emerging projects include some that have only partial funding or no funding at all at this time, but reflect the Affirm Thy Friendship Campaign initiatives and the University's improved long-range planning. Today we will look at Hagerty Hall and Page Hall, which are located in yellow on the right-hand side of the slide and also Larkins renovation project on the left. Both of these are representatives of the emerging projects.

Hagerty Hall was formerly the home of the Fisher College of Business, which has since moved to its new facility. Once renovated, Hagerty will be the home of various language departments and the Department of Linguistics in the College of Humanities.

Page Hall is presently occupied by the Fisher College of Business, but will be vacated when Phase II of the new facility is completed this year. This building will be renovated to house multiple users.

Larkins Hall renovation and addition will be our next project in excess of \$100 million. It will probably be constructed in two phases. First, the new construction

ANNUAL ARCHITECT'S PRESENTATION (contd)

Ms. Morelli: (contd)

will occur south of the existing building and be occupied. Phase II will then begin, which will renovate the existing facility.

All of these emerging projects will benefit from new legislation which will allow for the University to request local administration no matter the source of funding or the threshold amount. This is extremely significant legislation that benefits Ohio State University.

Projects in-design are those with an architect hired to design the building and to complete the contract documents for bidding and construction. These projects are reviewed by an advisory board set up to review projects for their compatibility with the campus architecture and compliance with the master plan. This year represents the first year of the Design Review Board. I would like to now introduce Rob Livesey, Director of the Knowlton School of Architecture and the chair of the Design Review Board, to explain it a little more. Rob --

Professor Rob Livesey:

Thank you, Jill. Good morning. Our review board was established with the adoption of the Campus Master Plan by the Board of Trustees in October 1995. Just implemented in July 1998, funding for the first two years of the board has been provided as a pilot project by the Space Facilities Committee. The board serves as an advisor to the University Architect and the Space Facilities Committee, and through the SFC to the Coordinating Council.

The Design Review Board has two primary objectives: 1) to interpret the Campus Master Plan's policies and guidelines, recommending modifications to proposed projects or, when appropriate, granting project exceptions to the Master Plan; and 2) to evaluate projects to ensure that they meet the highest quality standards. In other words, the board's purpose is to represent the civic mission of every project and to advocate quality design. The board's role is to help the University be a more informed and therefore better client.

The board is made up of seven members appointed by the President of the University: two outside professionals, two faculty from the Knowlton School of Architecture, two faculty-at-large, and a student. The two outside professionals are Charles Gwathmey, an internationally-acclaimed architect whom you might know for his addition to the Guggenheim Museum in New York, or more locally for his Taft residence in Cincinnati, his dining facility at Oberlin College, or his art museum at Youngstown State University. The second outside professional is Elizabeth Myer, former chair of the landscape architecture department at the University of Virginia, and noted landscape architect designer, critic, and historian.

The two faculty from the School of Architecture are Douglas Grath, who most recently won a competition for the Town Center for Shanahan, Illinois, and myself. The two faculty-at-large are David Franz, professor in the Department of English, and Rebecca Jackson, associate professor both in Internal Medicine and Physical Medicine and Rehabilitation. The student is Jennifer Carlson, a junior majoring in Business.

From our initial meeting in July 1998, we've met four times, undertaken 16 reviews of 13 projects, from Mack Hall to the Lane Avenue bridge, from the new North Garage to the Medical Gateway. The board is both project-specific and campus-focused. The board recognizes that not all of its suggestions will be implemented, but it believes that by raising the level of discourse and by introducing issues early

ANNUAL ARCHITECT'S PRESENTATION (contd)

Professor Livesey: (contd)

into the design process, we can assist the University in protecting and indeed enhancing this beautiful campus and all our campuses. You might think of us as sort of eco-technology for the campus.

Let me briefly describe the impact the board has had on a couple of projects. First, would be the Sisson Hall replacement for the College of Veterinary Medicine. The scheme as presented to the board had some character, but it missed the opportunity of representing the University at Olentangy River Road and John Herrick Drive. It also did not define a memorable exterior space. The board encouraged the architects to consider the importance of the back side of the building and to better define the courtyard to the north, by reducing the scale of the open space and creating a garden plaza for receptions.

The second example of a project is the Landscape Master Plan. The board found the overall direction of the plan to be good and agreed that the idea of the building sitting on lawns rather than bushes is also appropriate. It encouraged the landscape architects to more clearly establish a hierarchy of spaces. In addition, the board made several recommendations about specific detail improvements to the plan. For instance, it encouraged the designers to extend the Neil Avenue walk south of Woodruff, to clarify some issues about the University gateways, and added details to the so-called "River of Trees" south of the recreational fields.

There are many contributing factors to a great University. A sense of place is an essential ingredient in establishing an academic community. We trust that the Design Review Board assures that both the designers and users not only consider the necessary accommodations, but that every project, building, or landscape will make a positive contribution to the campus as a whole. Thank you.

Ms. Morelli:

Thank you, Rob. Today, we wish to show you three projects that are presently in-design and have been reviewed or will be reviewed by the Design Review Board shortly. The Knowlton School of Architecture, shown here at the top of the slide, also the Life Sciences Building, at the bottom of the slide, and then the Physical Sciences Research Center, located at the top to the right.

One of the projects in-design is the new home for the Knowlton School of Architecture, which is presently located in Brown and Ives Halls. Although this is a bit like "doctor as patient," I'm happy to report that the project is moving along nicely with great cooperation from the School and the College of Engineering.

The new Life Sciences Research Building is located in the southern sector of our campus. The College of Biological Sciences will move from the adjacent Botany and Zoology Building that will then allow it to undergo renovation in the future. This project has been reviewed two times by the Design Review Board. As an example, the Design Review Board has requested design changes in the interior lobby, making it more open and inviting, and exterior modifications as well.

Last year, we reported on the Physical Sciences Research Building, a \$50 million project for Physics. You may remember this picture of the existing conditions. Physics is presently located in Smith Lab, but will move to the former Welding Engineering site which will undergo demolition soon. Design is proceeding as the user and the University grapple with many of the issues of a complex department and their needs on a confined site. Recognizing the confines of a site, the Design

ANNUAL ARCHITECT'S PRESENTATION (contd)

Ms. Morelli: (contd)

Review Board has asked for improved efficiencies resulting in an innovative stacking of the offices in relationship to the research laboratories.

As the orange barrels and construction fences prove, we do not lack for construction on campus. These are exciting times at Ohio State, particularly for the future occupants of McPherson Lab, in the upper right, the Success Center, at the bottom of the slide, and the Ohio Stadium.

McPherson Laboratory will house the Chemistry and Astronomy Departments and the Astronomical Instrumentation Facility. It has been completely gutted and is now undergoing a major renovation. When completed, this project will house a variety of classrooms, offices, and laboratories.

A new building in the southern sector of our campus is the Success Center, which will combine counseling and consultation services, faculty and TA development, student athlete academic support services, career services, and the new academic learning center. This is a unique combination of uses, whose goal is to make every student a success. These integrated services will exist nowhere else but at Ohio State.

Finally, but not least by any means, Ohio Stadium began its work the summer of 1998 with a utility relocation package. The next package will be the slurry wall, or the walls that will allow the lowering of the field, and this work will begin in the summer of 2000. Within the next month, we will begin the main package, the construction and renovation of Ohio Stadium.

We are incorporating many innovative elements in this bid package. A default schedule will be included in the specifications that will spell out the University's priorities. We will use design claims insurance to properly focus energy should a claim arise. Specific technical and quality criteria will be listed and used to assist us in the determination of responsive and responsible contractors. And monetary incentives for successful completion will be used. We are very excited about this latter item. It's a million-dollar incentive package for early delivery of the project at various critical milestones. Although this reward system has been used successfully in highway construction, it has not yet been used for agency work in the State of Ohio.

Today, I'm going to highlight two of our many completed projects of 1998. These two projects were selected because of their significance for the future of the University and for the residents of the State of Ohio. Research laboratories, resource labs, and clinical spaces form the tenant improvements for the sixth floor of the medical research facility on 12th Avenue in our Health Sciences area. This is where scientists are investigating inherited and acquired forms of cancer. They are also translating these discoveries and others into useable diagnostics and therapies.

The Transgenic Animal Facility is located in our West Campus research area, and has been renamed the W. M. Peck Genetics Research Facility as authorized by this Board a year ago. This project creates a research laboratory and a clean-room environment for immuno-deficient mice as scientists explore gene transfer. And, by the way, we finished a couple of other projects, too.

It's been a great year. Amongst the groundbreakings and the opening events, we pause, and look at these accomplishments not as personal victories, but rather as successes for our entire OSU community. The future Ohio State students are the

ANNUAL ARCHITECT'S PRESENTATION (contd)

Ms. Morelli: (contd)

true beneficiaries of the collective achievements of people who care. We are constructing for 2010 and beyond, we are building for the future at Ohio State University. If you have any questions, I'll be happy to field them now. Any questions? I thank you for your time.

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STUDENT RECOGNITION AWARD

Ms. Allyson Lowe:

As we hold our Board meeting here in the Student Union, it seemed like an appropriate time to think about student government, student activities, and student social life and ask ourselves three questions, "Where do our student leaders come from? How do they get here? and What do they do once they are here?" Now I know there's a whole committee that can answer those questions for me, but I want to use one student's story today to answer those questions in a more personal way.

The student that I'm going to use for those questions is Kate Brown, from Canton, Connecticut. While OSU may seem like an unlikely destination for someone from Connecticut to choose, Kate tells me that she first visited Ohio State while she was being recruited for the field hockey team and actually fell in love with the school and decided to stay. Since then, Kate has played four full years of field hockey and completed her collegiate sport career as the team captain this year.

Her service to athletics continues. She is the current chair-elect of the Student Athletics Advisory Board and will chair that board during her fifth and final year here at Ohio State.

Kate stays busy off the playing field with her course work which is not surprising. Formally an agriculture engineering major, she is now an environmental sciences major and is looking forward to pursuing her major in her last year and also a co-op or internship this summer.

Kate is an active volunteer in the engineering service honorary known as TXNIKOI where she serves as a tutor. This year she became involved with Sphinx which is a senior honorary, and joins with its members on service projects such as working in local area soup kitchens. Kate has served also in the Columbus area as a Girl Scout troop assistant.

One of Kate's nominators in the Athletic Department told me that Kate is an outstanding leader and it's really easy to see why. She has demonstrated that the life of a student athlete combines excellence in academics, in sport, and certainly in community and University involvement.

Please join me and Dr. Kirwan in meeting Kate here on the stage and congratulating her on her contributions to Ohio State.

Ms. Kate Brown:

Hello, and thank you very much. This is a very special honor and I'm honored to be here today to be recognized for my accomplishments. I first want to thank Kate Riffie, who nominated me for this, and Ms. Lowe for giving me the opportunity to thank you for helping maintain and better our University, which I've grown to love more and more over the years.

STUDENT RECOGNITION AWARD (contd)

Ms. Brown: (contd)

I first came here, as was said, on my recruiting trip and really had no idea what Ohio was and I didn't know what Ohio State really had to offer me. When I came I was impressed by the facilities, the departments, especially the engineering department that I was interested in at the beginning, and just the feeling I got once I came here. I graduated from a very small, seventy-seven student class so I wanted a big school. I wanted lots of opportunities and I have definitely not been disappointed.

I thank you very much for all that you do for our school and for this recognition. I would like to invite you all to our field hockey games next year. We play in the fall and, on behalf of any student athlete, we love visitors. Thank you very much, again.

Mr. Celeste:

Thank you very much, Kate, we appreciate that.

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STUDENT GOVERNMENT REPORTS

Mr. Celeste:

Josh Mandel is next up to present the Student Government Reports, but before Josh begins I want to mention that several of us had the opportunity yesterday to participate in "Student for a Day." We really appreciated the efforts of Mara Leventhal who made that happen. I know that Dan, David, Allyson, and I had a chance to take part in that and I appreciate your help, Josh, in making that happen and particularly Mara's. So if you'd pass that on, we'd appreciate it.

Mr. Josh Mandel:

I definitely will and likewise the students had a great time with the Trustees. Actually, if you pick up the *Lantern*, there's a picture of Mr. Brennan eating food in Kennedy Commons.

This past week the Undergraduate Student Government came out with our second volume of the *Landlord Library*. This was a project we started last year where we survey students throughout the University area and ask them questions about their landlords -- How do they tend to maintenance? Do they keep their places up to date? What's the quality versus cost? -- and we take those responses, we publish them in a booklet and we distribute them to students. We mail them throughout the University and also drop them off to the dorms.

This year we made some additions to the publication and one of the largest was a crime distribution map. We partnered with a professor who took the statistics from BNS census of crime throughout the area and he mapped it out. He put it into a map so students could see what parts of the University district have the high and low crime density. So when they're looking to rent places, they can consider that as well.

As President Kirwan eluded to, the COTA referendum passed overwhelmingly the week before last. We had 8,000 students vote and ninety percent of them voted to continue the service for the next five years. It's been a program about which we've heard overwhelmingly positive responses from the students.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Mandel: (contd)

Also as President Kirwan mentioned, we've been working with many officials at the University and also the City to try to bring some of the blue lights that are on campus, off campus as well. We'd like to thank Vice President Ashe and people within Business and Administration and Physical Facilities because they really stepped up to the plate and went to bat for the students on this project.

This past Tuesday, we had a meeting with people from Ameritech, Business and Administration, and the City. Basically, it looks right now that it's going to happen. It's just a matter of time, and we'd like to thank you and your staff for that.

This past quarter we started a program for night parking for students. It allows students to park anywhere on campus in the surface spaces from 9:00 p.m. - 5:00 a.m. This was in response to a lot of students who said at night time they didn't want to walk to and from campus because it wasn't that safe and there were all of these spaces empty on campus. They asked if they could park in them. So this also was a partnership with Transportation and Parking Services. We're still working out some kinks, but we've had a good response from the students who are participating.

With Dr. Garland, we have a student, Amanda Graf, who is working on putting together a sheet that will tell students how to graduate in four years. Basically, it will say that if you accomplish ABC you should graduate in four years. We're looking at what other schools do in holding universities accountable for certain things and to push this University to make sure that students don't get closed out of classes and that type of stuff. Dr. Garland, along with Dr. Janet Weiss Sorrels, in Arts and Sciences, have been great in working with Amanda, and hopefully for students soon there will be that program.

Currently, we have eight students attending the Association of Big Ten Students Conference at the University of Illinois in Champagne-Urbana, working with the other Big Ten schools. Hopefully, they will bring back to Columbus some worthy projects. We do this three times a year. We also invite the University of Chicago to talk about what their student governments are working on.

Finally, I'd like to thank Dr. Moser and Melissa Kreiger, as well as Bill Hall who have helped us bring a project for students, faculty, and staff on the Agricultural campus. Hopefully starting at the end of this quarter or the beginning of next, there will be food services on the Ag Campus. We heard from many students in the past that they are studying and doing research on the Ag Campus, and the faculty and staff are working there, but there is nowhere to eat lunch. So in Kottman Hall, hopefully, starting next quarter there will be those services.

Now I'm going to read the report from the Council of Graduate Students. The Council of Graduate Students has been very active in a number of areas since December. During the past few weeks, CGS members provided graduate students with information and staff polling sites for the COTA referendum. CGS is delighted that turnout was so high and students strongly support the program's continuation.

CGS has been meeting with the developer teams for the Gateway project. This week members of CGS met with representatives from both the Drecker Companies and Madison Marquette to discuss graduate student opinions and needs for the project, including retail stores and housing for graduate students. We were pleased that these companies consulted with us and look forward to seeing the final plans in the coming weeks.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Mandel: (contd)

CGS Vice President Manisha Vagira Robin is busy with the 1999 Edward F. Hayes Graduate Research Forum. Over 160 abstracts were received and these are now off to the abstract judges for finalists to be presented in April. We would like to thank the Graduate School and the Office of Research for their ongoing support of the Forum. Top winners in each of the ten academic areas of the Forum receive \$500 travel stipends for presenting their work at a professional conference.

The CGS Professional Development Fund Committee is working hard on a new initiative which will provide graduate students with the ability to apply for funds to support professional development activities such as travel to conferences. Students will be eligible for up to \$300 per year and their service activities will be considered by the committee in making grants. CGS believes that this is a very effective way to encourage graduate students to participate in service activities.

Finally, many of you have read the *Lantern* article concerning the funding of international graduate students. Some members of the state legislature have suggested that foreign students should not receive funding. Graduate students, both international and non-international, are very concerned about this issue and CGS is working with University officials to address this problem. The view is short-sited and dangerous for a number of reasons and also inaccurate.

International graduate students are not receiving free tuition as some have suggested. As G.A.'s, they are expected to perform services such as research or teaching, if needed, and to support faculty research. Often international students go into certain fields such as science and engineering in greater numbers than U.S. students fulfilling a critical need for these people in these areas. Many international students do stay in Ohio upon graduation and contribute to the economy. Those who do return to their home countries remain grateful to Ohio State for their experience and become wonderful international allies and contributors to the University.

CGS asks the Board members for their support in practically dealing with this issue and is willing to assist the University with any efforts that need to be made.

Finally, the report from Eric Ley, President of the Interprofessional Council. The IPC, in an effort to increase communication between professional students and administrators, will soon be conducting professional school-wide I-QUE survey. The Interprofessional Council Quality of University Experience Survey is designed to give professional students a strong voice in shaping the future of the professional colleges at The Ohio State University. The purpose of this survey is to make The Ohio State University a better place for professional students.

The work on this project began last fall. A committee made up of representatives from each professional college helped write the questions presented. The I-QUE committee members are: Jody Ball, a dentistry student; Dawn Barkan, an optometry student; April Evans, an optometry student; Julia Grossman, a veterinary medicine student; Eric Ley, a medical student; Vijay Nath, a medical student; Chad Webb, a dentistry student; Chip Welch, a law student; A.J. Welgman Montero, a veterinary medicine student; and Rudy Whipps, a dentistry student. Please feel free to discuss these surveys with any of the previously mentioned professional students.

The I-QUE survey is now at the Office of Testing for layout and final editing. Positive and negative criticism received from all levels has helped shape the I-QUE survey. IPC would like to thank the following OSU members who have taken time to give feedback about the I-QUE survey: President Kirwan, Dr. Ray, Vice

STUDENT GOVERNMENT REPORTS (contd)

Mr. Mandel: (contd)

President Williams, Dr. Tzagournis, Dr. Barr, from Optometry, Dean Fields, Dean Hoffsis, Dr. Fenner, from Veterinary Medicine, Dr. Westman, from the College of Medicine and Public Health, and Don Stenta, from Student Activities.

Finally, pending final editing, the I-QUE survey will be sent to the printers next week. Professional students will then have the opportunity to complete the surveys in early March. Feedback to IPC for professional students shows that these students are excited about the opportunity to express their opinions through the I-QUE survey. After the surveys are administered, the final stage will be to present the data in an I-QUE report to the Board of Trustees.

Funding for this project will likely come from the Office of Student Affairs, Office of Health Sciences, and OSU professional colleges. The IPC thanks these co-sponsors for their support.

Having said that, I conclude the reports for the student governments. Does anyone have any questions or comments? Thank you very much for your time, Mr. Chairman.

Mr. Celeste:

Thank you very much, Josh. We appreciate your reports.

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**PRESENTATION OF THE GOVERNOR'S TEAM EXCELLENCE
IN THE PRIVATE SECTOR AWARD**

Mr. Celeste:

At this time, I'd like to call on Janet Ashe for a very special recognition that the President eluded to earlier in his comments. Janet --

Ms. Janet Ashe:

Thank you, Mr. Chairman. I am very excited today to recognize the Physical Facilities Training team. When I came here seven years ago, we started a quality program and the quality program has really taken off. All the different parts of this campus that Business and Administration touches have a quality team.

This team actually brought home a major piece of hardware this fall. They competed in the Governor's Quality Team of Ohio. They were the first university in the state to do this and they won. They then went on to Cleveland to be showcased with teams from Honda, AEP, and other companies, and did our University proud.

The recognition received up at the Ohio Manufacturer's Association in December was amazing. We had many people coming up to us asking how we did it. What was it that we did? We now have a great program for skill trades and that is something that many manufacturers want to know how we do that.

When I first came to Ohio State, a lot of people said that you'll never get training on this campus because "you can take classes at Ohio State." Well that didn't help us in some of the trades. We got a partnership with the union which was very important. We now have different vocational colleges come to our campus and

**PRESENTATION OF THE GOVERNOR'S TEAM EXCELLENCE
IN THE PRIVATE SECTOR AWARD (contd)**

Ms. Ashe: (contd)

train. As you can see from what was handed to you, the number of employees has gone from five to forty-one. It's just been absolutely amazing.

Most of all what this team has brought is recognition to Ohio State University. We were at this banquet with some very heavy hitters from the state that are known for quality issues such as Honda. I've also now been asked to meet monthly with the Ohio Manufacturer's Association, which always met right in our back yard -- on Ackerman Road -- and now we'll be doing that.

I want to have the team come up. Like I said it's not just football teams who bring in major hardware. We received resolutions from Senator Espy and Representative Amy Salerno. The team members are: Leon Davis, Maintenance; Aly DeAngelo, Measurement; Jed Durtinger, Training; Dick Lighthiser, Maintenance; Sam Rutger, Maintenance; Paul Sherwood, Engineer's office; Amy Sullivan, Engineer's office; Jim Woltz, Maintenance; Ed Berlepsch, Maintenance; and, of course, our Associate Vice President, Jim Stevens.

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Thereupon the Board adjourned to meet Friday, March 5, 1999, at the Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

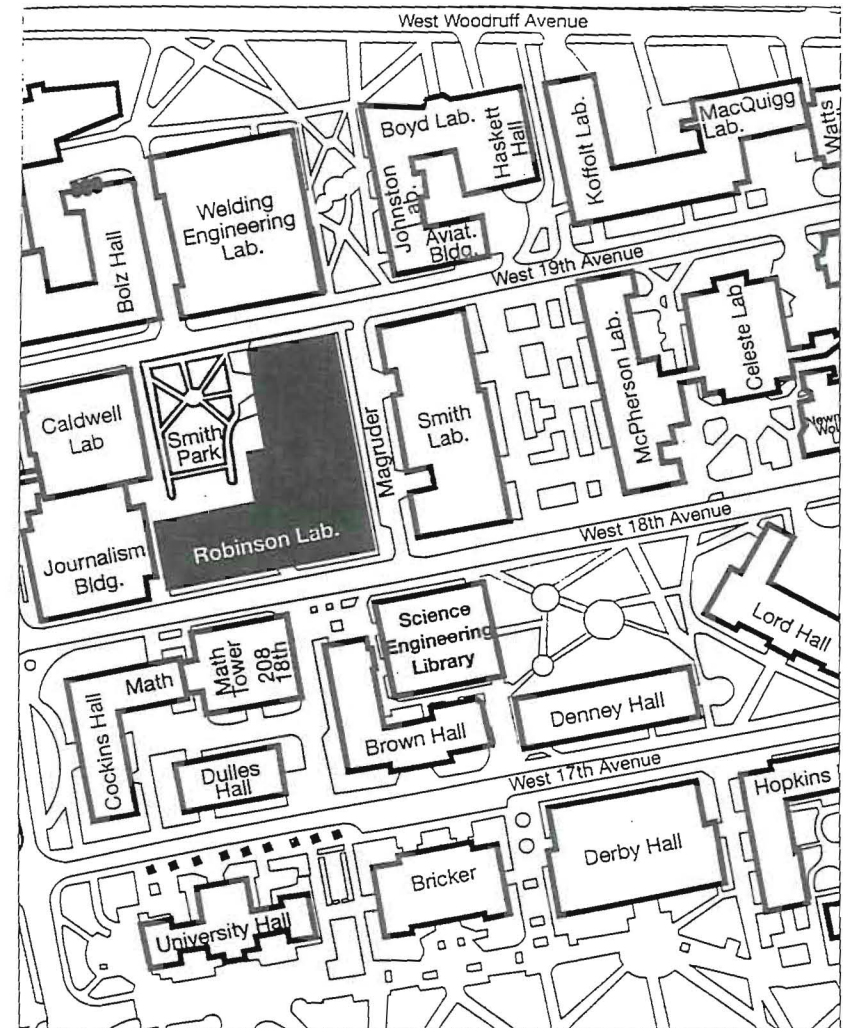
Theodore S. Celeste
Chairman

Waivers of Competitive Bidding Requirements October-December 1998

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$2,433,440	\$0	0	\$2,433,440
Hospitals - Equipment and Services	\$5,267,859	\$4,334,989	24	\$932,870
Instructional and Research Equipment and Services	\$973,107	\$824,511	10	\$148,596
Administrative Support Equipment and Services	\$1,752,638	\$435,391	4	\$1,090,075
Conference Facilities	\$110,000	\$55,000	1	\$55,000
TOTAL	\$10,537,044	\$5,649,891	39	\$4,659,981
			1	18

(APPENDIX XXIX)

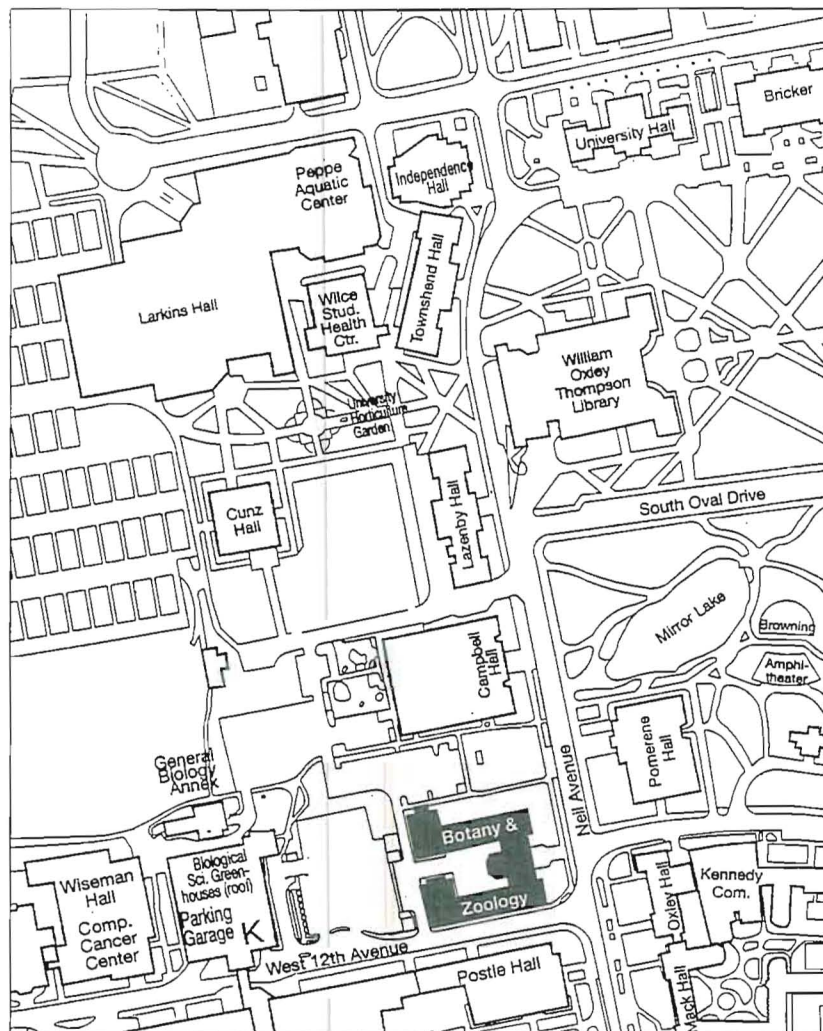
Robinson Laboratory Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 1, 1999



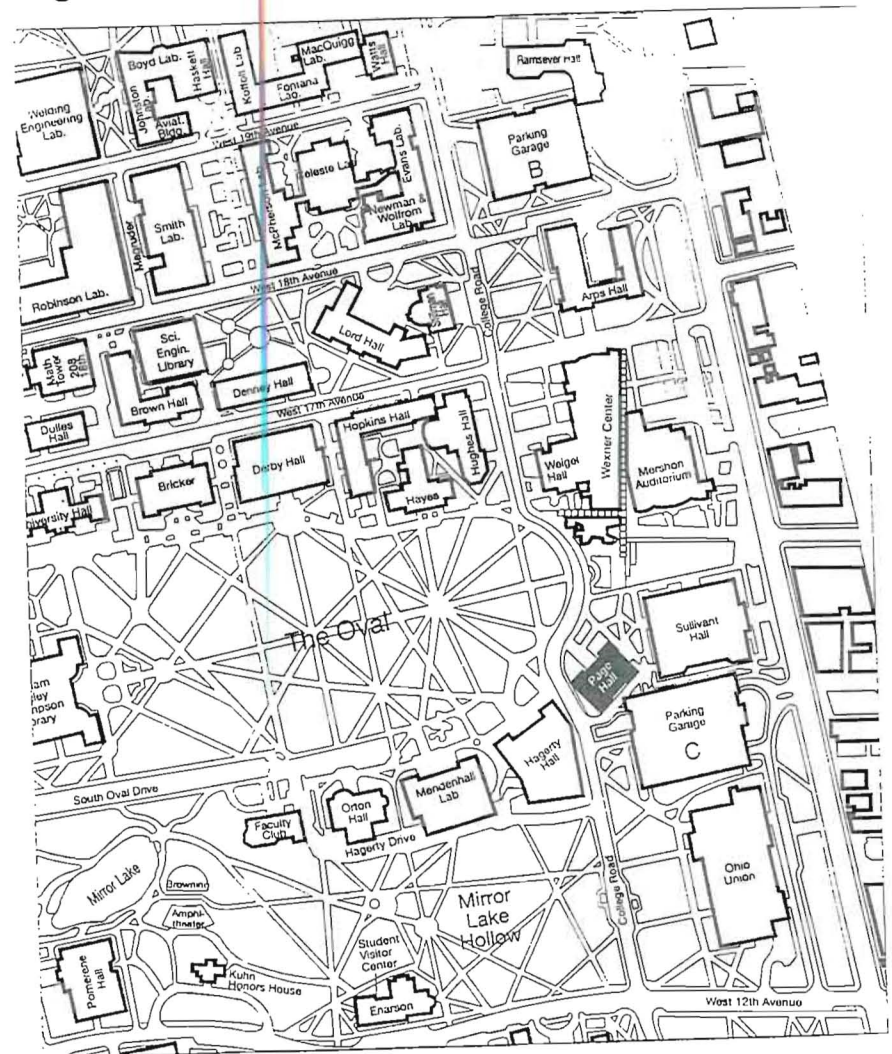
Botany and Zoology Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
December 19, 1998



Page Hall Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



Library - Phase II

Ackerman Road

New Addition

Library Book Depository

Spence & Peckering Building 2100

Full Chemical Warehouse

NCC Engineering Laboratory

2100

Printing Facility/Service Unit 2101

Public Storage

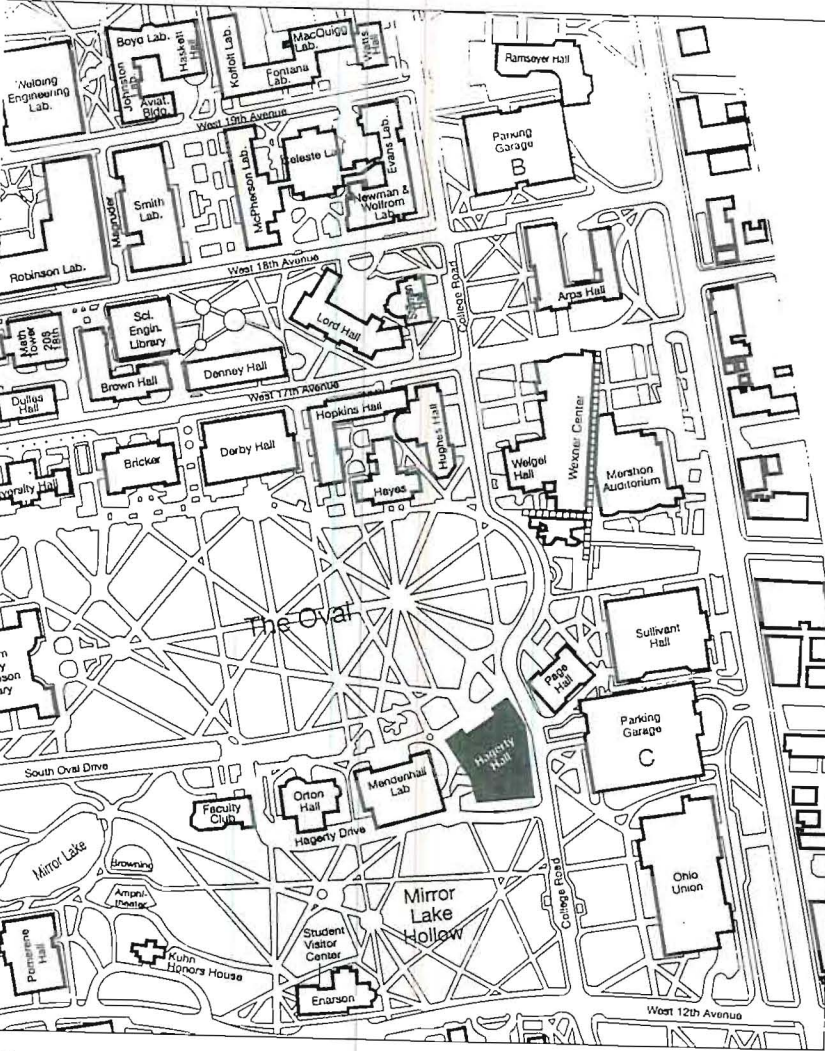
Kenny Rd.

CJD Railroad

SEA 315

Office of Business and Administration
Office of the University

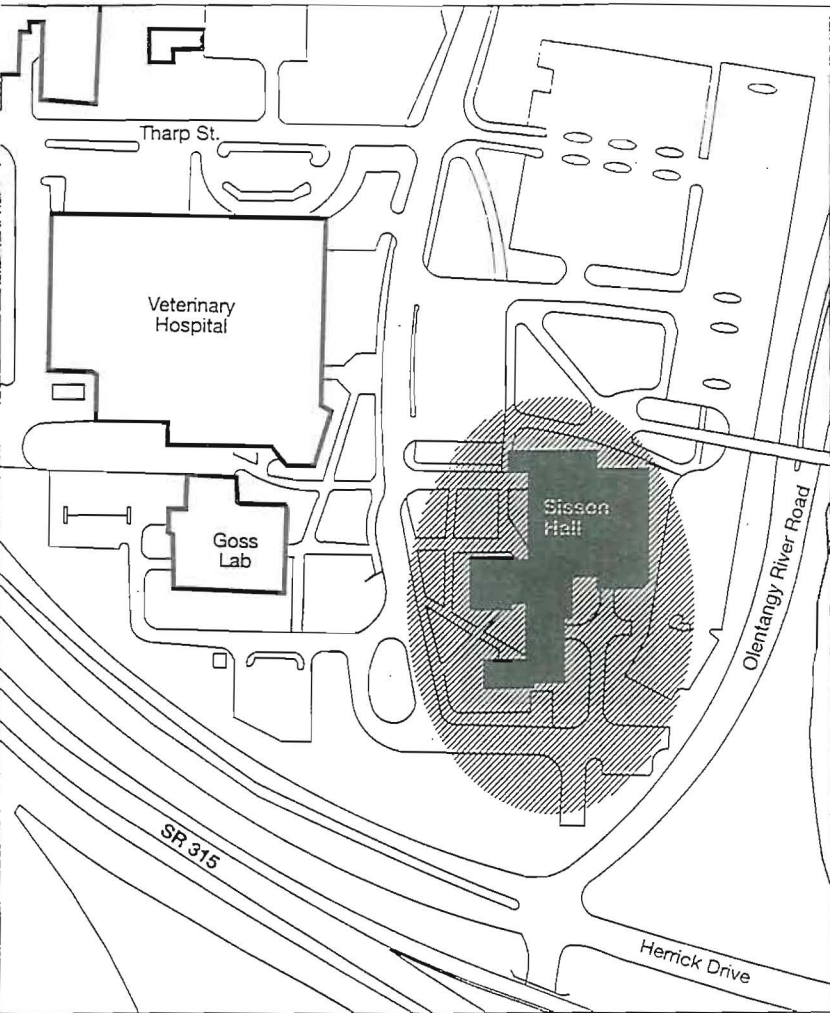
Hagerty Hall Rehabilitation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



Sisson Hall Replacement



Office of Business and Administration
Office of the University Architect and Physical Planning
December 8, 1996



OARDC - Feed Mill Replacement



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



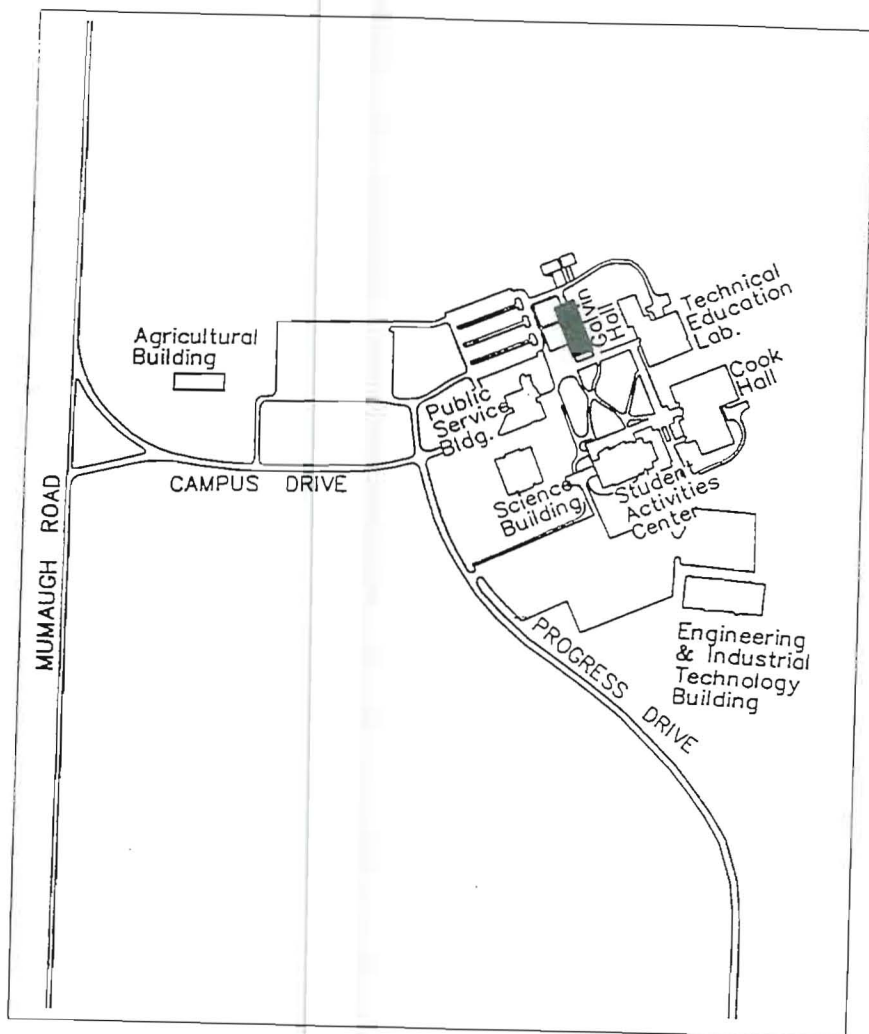
ATI - Equine Center



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999

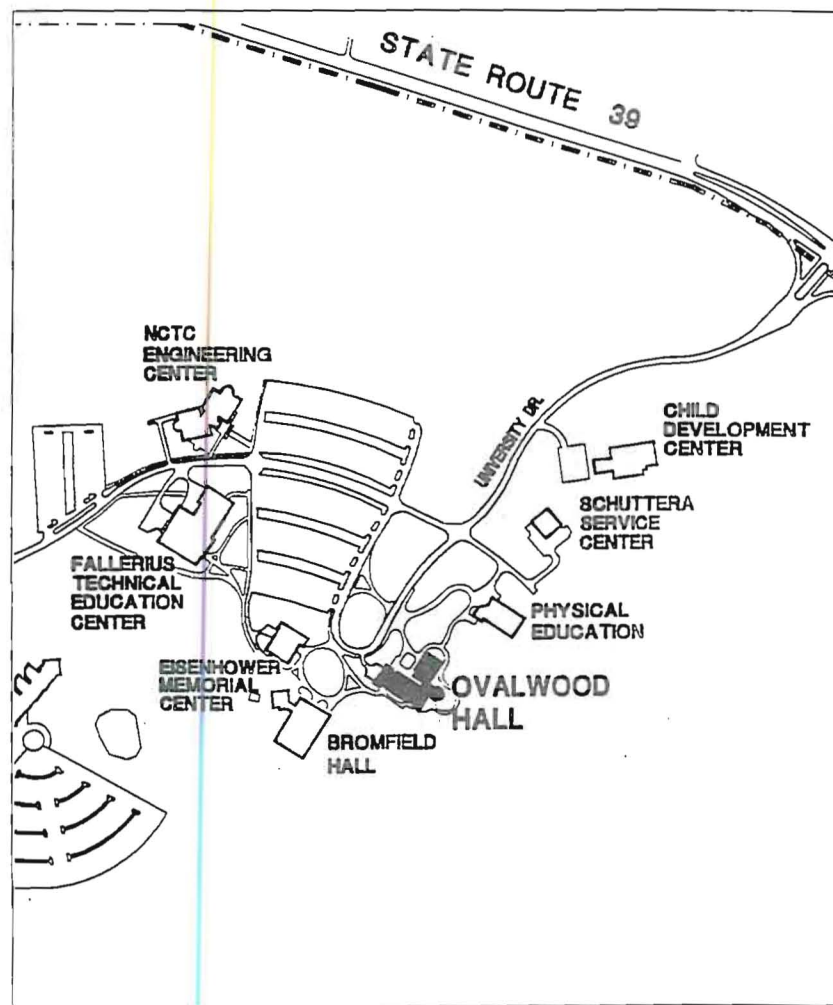


Lima - Galvin Hall Third Floor Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 5, 1999

Mansfield - Founders Auditorium Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
April 9, 1998

ATI - Horticulture Operations Center Phase I



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



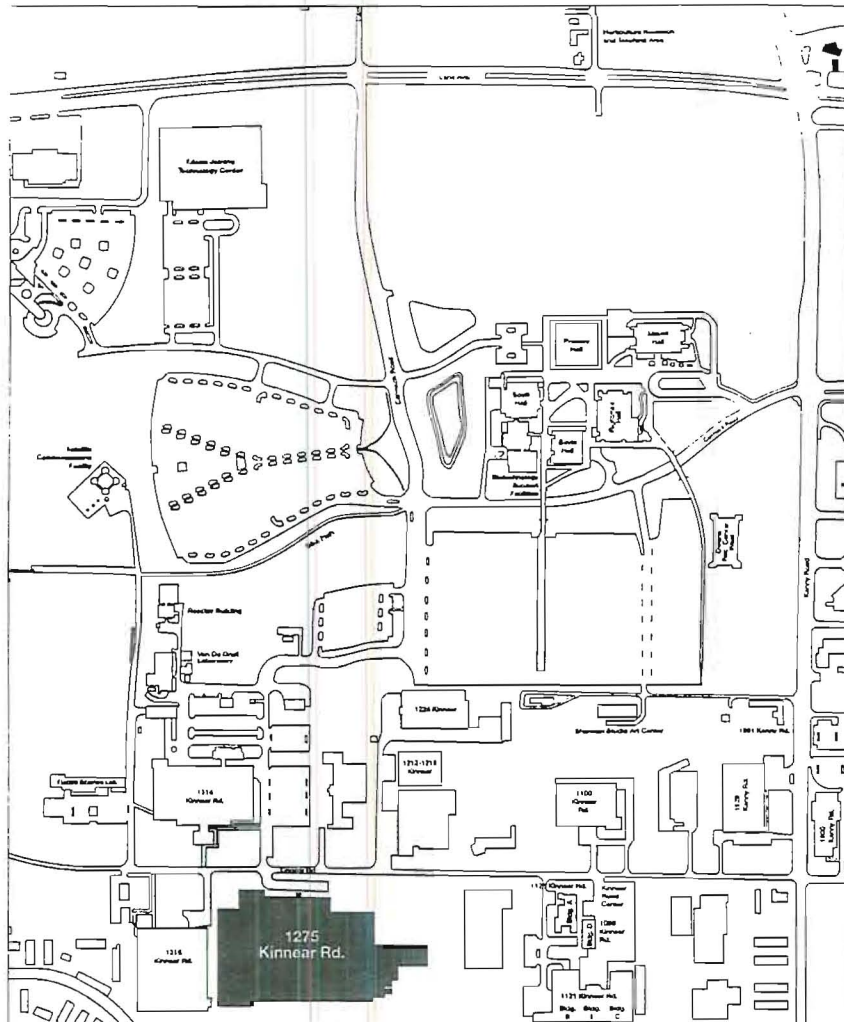
Natural Habitat Research Improvements, Phase III (Pass Thru)



Office of Business and Administration
Office of the University Architect and Physical Planning
January 10, 1999



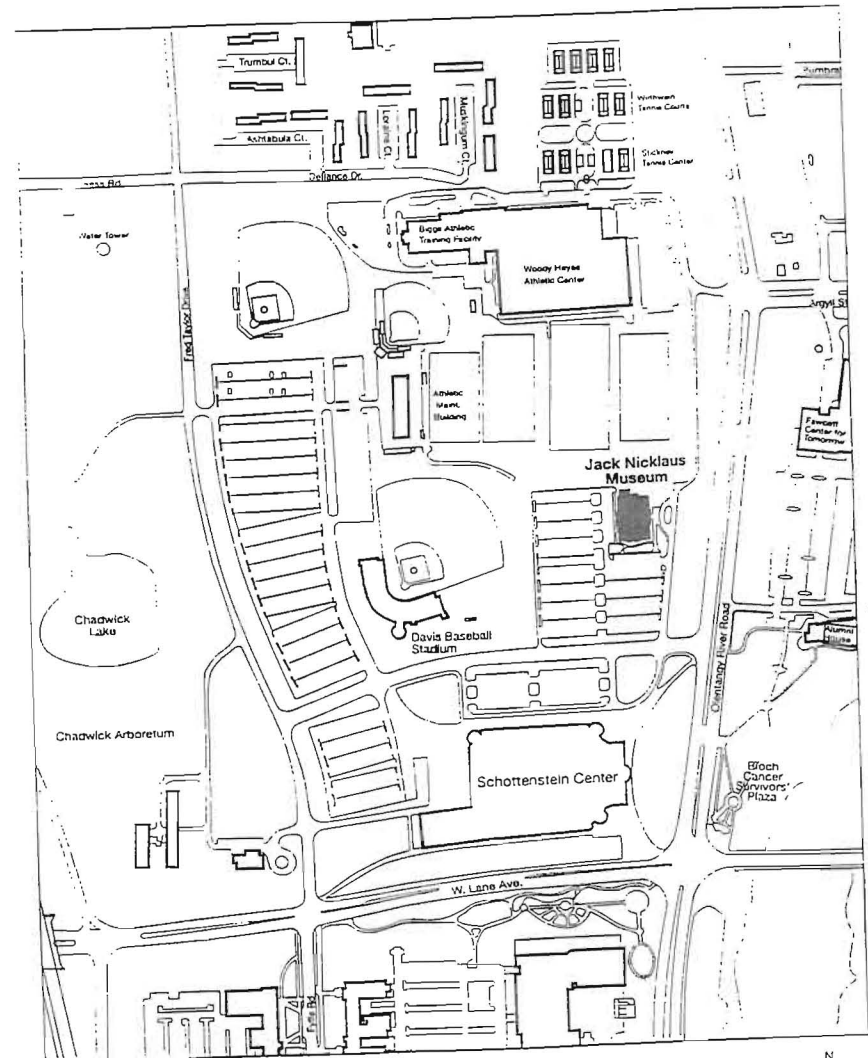
Science and Technology Project



Office of Business and Administration
Office of the University Architect and Physical Planning
January 5, 1999



Jack Nicklaus Museum



Office of Business and Administration
Office of the University Architect and Physical Planning
January 5, 1999



ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
TOTAL PROJECT COST OF \$1 MILLION OR LESS
Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
1218 Kinnear - Renovate URL Lab Space	\$475,000	University Hospitals	Renovate URL Lab space for clerical use
1315 Kinnear Road Roof Replacement	\$680,000	House Bill 850 Basic Renovation	Remove and replace the existing system with addition of expansion joints and well as improved drainage
Biological Sciences Cooling Tower Replacement	\$500,000	House Bill 850 Basic Renovation	Replace the worn out, undersized cooling tower with a new 4-cell tower sized to handle all of the building's chillers
Campus Buildings Emergency Lighting	\$500,000	House Bill 850 Basic Renovation	Buildings identified by the State Fire Marshall as not providing adequate emergency lighting for egress from the building will be upgraded with more emergency lighting to comply with current Life/Safety codes
Campus Buildings OSHA Fume Hood Monitors, Phase I	\$500,000	House Bill 850 Basic Renovation	Install OSHA required fume hood monitors on existing fume hoods that were not required to be so equipped when installed
Campus Grounds Exterior Lighting - Phase VI	\$500,000	House Bill 850 Basic Renovation	Continue the replacement of existing exterior lights with energy efficient lights that improve light levels and safety without increased electrical consumption. This phase of the project will complete lighting upgrades in the area bounded by 10th Avenue, College Road, 12th Avenue, and Neil Avenue
Cockins Hall Math & Statistics Learning Lab Renovation	\$800,000	House Bill 850 Basic Renovation	Renovate selected areas in the basement and first floor of Cockins Hall and one suite in the Math Building for the mathematics and statistics learning lab to provide open study areas, reservable rooms for peer led study groups, computer with statistical and tutorial software, support of WEB pages and multimedia course materials, and facilities for enhancing the classroom skills of tutors, TA's and lecturers.
Curl Drive Mill and Overlay	\$250,000	House Bill 850 Basic Renovation	Mill and overlay Curl Drive from Woodruff Avenue to Neil Avenue including repairing adjacent broken concrete curbs and sidewalks
Denney Hall Classrooms Renovation	\$970,000	House Bill 850 Basic Renovation	Renovate pool classrooms on the second floor of Denney Hall, surrounding common areas, and rest rooms
Derby Hall Roof Replacement	\$500,000	House Bill 850 Basic Renovation	Replace copper roof and copper gutter and restore cresting

ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
TOTAL PROJECT COST OF \$1 MILLION OR LESS
Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Drinko Hall Air Conditioning Upgrade	\$500,000	House Bill 850 Basic Renovation	Replace air units #1, #2 and #3 and the 225-ton centrifugal chiller that contains obsolete refrigerant and cooling tower
Don Scott Feasibility Study	\$860,310	House Bill 850	Perform a feasibility study associated with the facilities in the Don Scott area
Evans Lab Roof Replacement	\$325,000	House Bill 850 Basic Renovation	Replace all the built-up roofs on the 2nd, 4th, and 5th levels of the original building and the north addition
Fontana Lab Chiller Replacement	\$300,000	House Bill 850 Basic Renovation	Replace the 210-ton Trane chiller that uses refrigerant that is no longer manufactured
French Field House Glass Replacement	\$400,000	House Bill 850 Basic Renovation	Remove the existing corrugated glass panels at the roofline on the north and south elevation and replace with new aluminum frames and a combination of sheet glass and insulated translucent panels
Hopkins Hall Ceramics Facility Renovation	\$920,000	House Bill 850 Basic Renovation	Upgrade the kiln area in the basement
James Cancer Hospital - Radiation Oncology Renovation	\$900,000	James Cancer Hospital	Renovate nurses' station, simulator, patient holding and waiting areas, treatment planning and diagnosis treatment rooms and install new Gamma Knife and associated planning and control areas
James Cancer Hospital - Relocate Cytogenetics Laboratory	\$414,792	James Cancer Hospital	Renovate existing space and construct a new mezzanine to accommodate new Cytogenetics lab
Johnston Lab Roof Replacement	\$100,000	House Bill 850 Basic Renovation	Replace original built-up roof with gravel
Koffelt and Fontana Roof Replacement	\$415,000	House Bill 850 Basic Renovation	Replace built-up roof including new insulation and metal flashing
Lima - Reed Cooling Tower	\$48,000	House Bill 850 Regional Basic Renovation	Replace the existing, deteriorated cooling tower in Reed Hall
Lima - Reed Lighting/Energy Control	\$79,166	House Bill 850 Regional Basic Renovation	Replace existing lighting with electronic ballasts and energy saving bulbs and install digital control system and associated control devices to HVAC system

ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
TOTAL PROJECT COST OF \$1 MILLION OR LESS
Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Lincoln Tower Renovation	\$700,000	House Bill 850 Basic Renovation	Renovate the third floor creating a Student Financial Services office to bring together the public service areas of Admissions, Financial Aid, Registrar, Minority Affairs, and Fees and Deposits and to provide a small drive-through customer service booth located in the adjacent parking lot
Main Library Carpeting	\$530,000	House Bill 850 Basic Renovation	Replace the 19-20 year old carpeting in several high traffic patron areas
Main Library HVAC Upgrade	\$800,000	House Bill 850 Basic Renovation	Extend chilled water lines from McCracken Power Plant to Main Library to permit elimination of existing obsolete chillers in the library
Main Library Roof Replacement	\$600,000	House Bill 850 Basic Renovation	Remove and replace copper batten roof and built-up roof, install new insulation, gutter, flashing, valleys, and gravel stop
Mansfield - Ovalwood Building Upgrade	\$53,900	House Bill 850 Regional Basic Renovation	Provide new carpeting, re-keying and painting in Ovalwood Hall
Mansfield - Roadway & Tennis Court Paving	\$122,000	House Bill 850 Regional Basic Renovation	Repave roadway from the Fallerius Building, Health Sciences Building and from Lot 9 to the entrance at Lexington Springmill Road and remove asphalt from existing tennis courts and replace courts including net supports and markings
Mirror Lake Hollow Renovation	\$500,000	House Bill 850 Basic Renovation	Replace the wall and bottom of Mirror Lake and provide site improvements to the sidewalks, fountain pit, steps, and landscaping
Mount Hall HVAC Modifications	\$550,000	House Bill 850 Basic Renovation	Modify pumps, piping and controls to the chilled water system that serves Mount, Scott, Pressey, Bevis and Rightmire Halls to improve air conditioning reliability and reduce operating and maintenance costs
Newark - Adena Hall Roof Replacement	\$315,343	House Bill 850 Regional Basic Renovation and Central Ohio Technical College	Complete the replacement of approximately 40,000 square feet of built-up roofing originally installed in 1976

ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
TOTAL PROJECT COST OF \$1 MILLION OR LESS
Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Orton Hall Roof Replacement	\$540,000	House Bill 850 Basic Renovation	Replace asphalt shingles with new clay tile as originally installed
Spirit of Women Park	\$700,000	University Hospitals and Gifts	Construct a park bounded by Dodd Hall, McCampbell Hall, University Hospitals Clinic and the School of Allied Medical Professions to include a water feature and be dedicated to women and the important contributions they have made
Utilities High Voltage Electric Circuit	\$400,000	House Bill 850 Basic Renovation	Replace the cables to increase the current carrying capacity of circuit 202/303 from the Buckeye substation to the midwest campus area
Utilities Steam Line Upgrade	\$700,000	House Bill 850 Basic Renovation	Replace 4" high pressure steam line and companion condensate line in the South Neil Tunnel
Veterinary Hospital Roof Replacement Phase I	\$500,000	House Bill 850 Basic Renovation	The first of two phases to replace the entire roof on the building. Phase I would remove the upper levels over the mechanical spaces, auditorium, entrance canopies, and separate roof on the southwest portion of the building

PURCHASE OF REAL PROPERTY (contd)

219-221 WEST TENTH AVENUE
COLUMBUS, OHIO (contd)

Background

Location and Description

The property consists of approximately 0.15 acre and is located on West Tenth Avenue between Neil Avenue and Worthington Street. The property is within the South Campus acquisition area. The property is improved with a two and one-half story brick double (circa 1900), in fair condition, that currently is being used as multi-tenant housing.

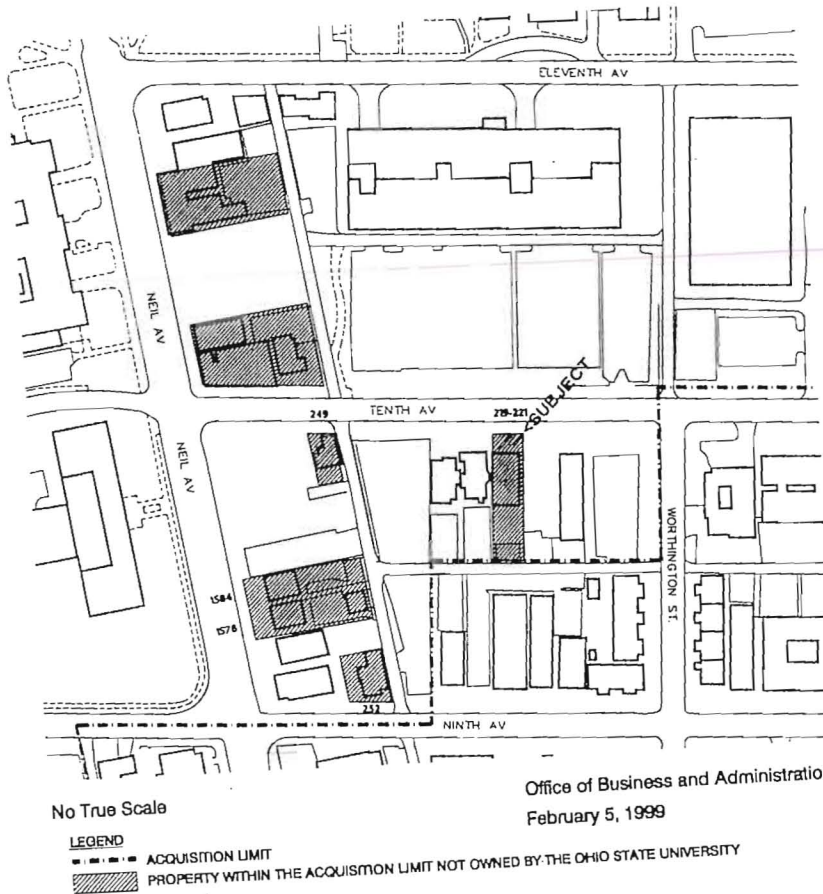
Appraisals and Purchase Price

Two M.A.I. appraisals were conducted in January 1999 by R.F. Berger & Associates and by Ohio Real Estate Consultants, Incorporated. The values of the property were determined to be \$175,000 and \$180,000, respectively. The seller, Bennett Cooper of Worthington, Ohio, has accepted the University's offer to purchase the property for \$175,000.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for use of The Ohio State University. Because the property is located within the Master Plan's South Campus acquisition area, purchase of the property is important for the University's long-range academic and support plans for the South Campus area. Funds for the purchase will be provided through the Land Purchase Account.

Proposed Purchase of Property in the South Campus Acquisition Area



No True Scale

Office of Business and Administration
February 5, 1999

Map Provided by University Engineer's Office

PURCHASE OF REAL PROPERTY (contd)

249 WEST TENTH AVENUE
COLUMBUS, OHIO (contd)

Background

Location and Description

The property consists of approximately 0.04 acre located on West Tenth Avenue just east of Neil Avenue on the corner of an alley. The property is within the South Campus acquisition area. The property is improved with a two and one-half story brick dwelling (circa 1905), in fair condition, that currently is being used as multi-tenant housing.

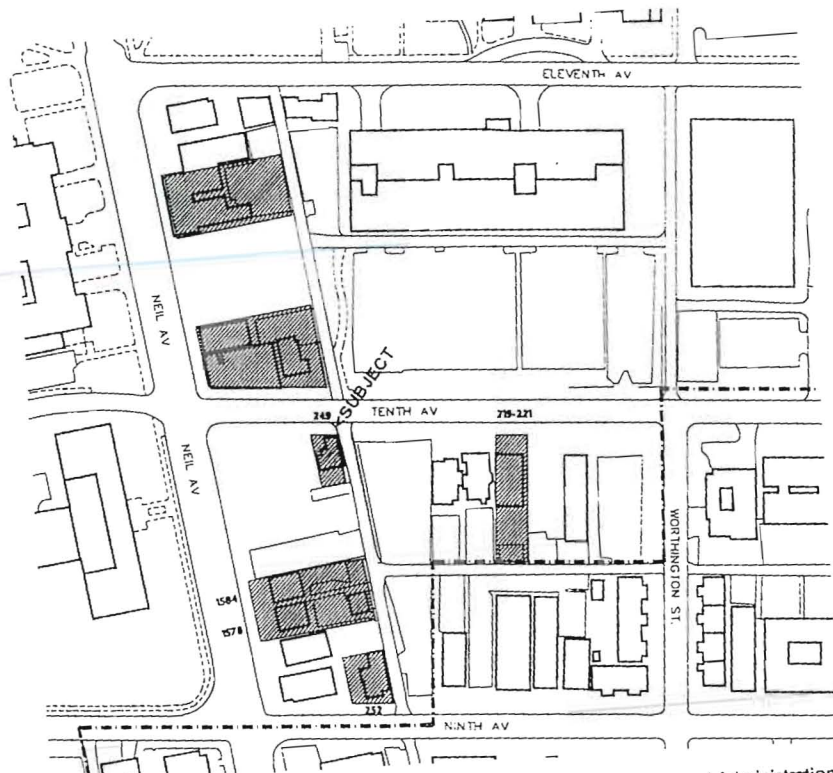
Appraisals and Purchase Price

Two M.A.I. appraisals were conducted in January 1999 by R.F. Berger & Associates and by Ohio Real Estate Consultants, Incorporated. The values of the property were determined to be \$99,000 and \$103,000, respectively. The seller, Jeffrey Sturgeon of Columbus, has accepted the University's offer to purchase the property for \$98,000.00.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for use of The Ohio State University. Because the property is located within the Master Plan's South Campus acquisition area, purchase of the property is important for the University's long-range academic and support plans for the South Campus area. Funds for the purchase will be provided through the Land Purchase Account.

Proposed Purchase of Property in the South Campus Acquisition Area



No True Scale

Office of Business and Administration
February 5, 1999

LEGEND
 - - - - - ACQUISITION LIMIT
 [Cross-hatched box] PROPERTY WITHIN THE ACQUISITION LIMIT NOT OWNED BY THE OHIO STATE UNIVERSITY

Map Provided by University Engineer's Office

PURCHASE OF REAL PROPERTY (contd)

**2.19 ACRES ON KINNEAR ROAD
COLUMBUS, OHIO (contd)**

Background

Location and Description

The property is located on the south side of Kinnear Road and borders University property at 1275 Kinnear Road to the east and south. The seller, CSX Transportation, Inc., also will provide a quit claim deed to the easement rights over another approximately 1.93 acres of land in the Kenny-Kinnear Road neighborhood. Acquisition of these easement rights will extinguish the railroad's easements on University property.

Previous Approvals

The Board of Trustees approved the purchase of 1.48± acres at this location for \$50,000 in November 1995. However, in further negotiations with the owner and discussions with the STC Corporation regarding the use of this property, additional acreage and rights-of-way have been identified, necessitating a renegotiated purchase contract. At the time of the previous approval, CSX had removed the steel rails and wooden ties from the site, with the gravel ballast being all that remains on the property. The University previously had environmental assessments conducted that resulted in finding no adverse conditions.

Appraisal and Purchase Price

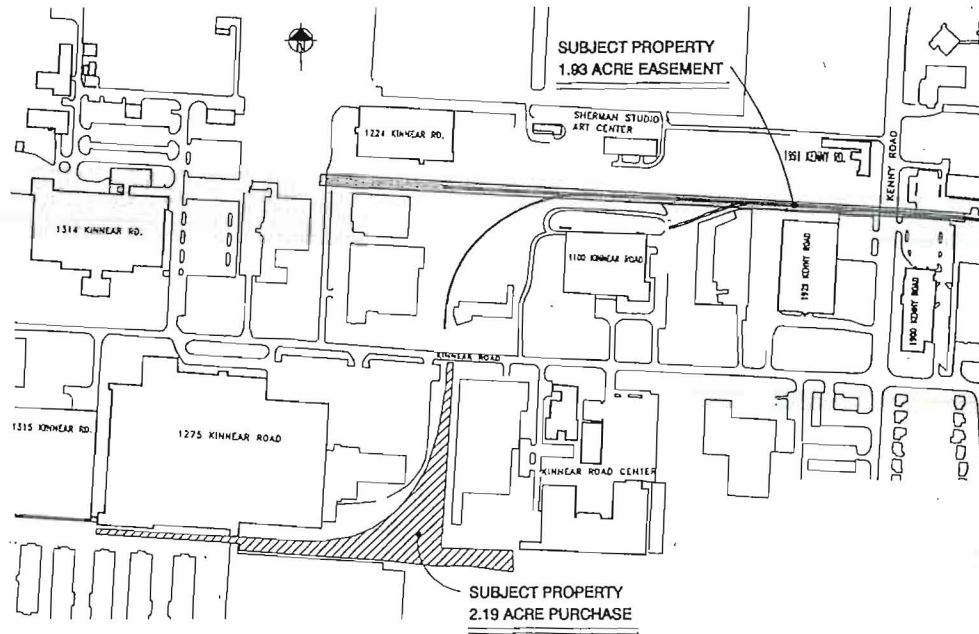
An MAI appraisal, conducted by Thomas R. Horner in August 1998 valued the property at \$100,000. CSX has offered to sell the parcel to the University at a price of \$92,500, which includes the quit claim deeds to the additional easement rights.

Use of the Property

The property will be leased to the Science and Technology Campus Corporation to provide additional parking and driveway access at 1275 Kinnear Road. STC plans for redevelopment of this property will require additional parking spaces and construction of a driveway at the rear of the building to permit better access to the facility for more versatile use. Some of the land being acquired may not be needed and is anticipated to be sold to neighboring land owners on terms to be negotiated and approved by the Board of Trustees at a later date.

CSX also is assigning to the University at no charge easements for additional right of way in the Kenny and Kinnear Road area. Much of the easement-held land is either on or borders University property. Once this assignment is made, the easements will be extinguished, so that land titles no longer will be subject to any CSX rights.

Proposed Purchase of 2.19 Acres of Real Property South of Kinnear Road
and an Easement for 1.93 Acres North of Kinnear Road
from CSX Transportation, Inc.



NO TRUE SCALE

 BUILDINGS OWNED BY THE OHIO STATE UNIVERSITY

MAP PROVIDED BY UNIVERSITY RESOURCE PLANNING AND INSTITUTIONAL ANALYSIS

OFFICE OF BUSINESS AND ADMINISTRATION
JANUARY 22, 1999

**Executive Summary
Second Quarter Budget Report
Fiscal Affairs Committee
February 5, 1999**

TOPIC:

Mid-year Budget Report

CONTEXT:

This is a mid-year review and adjustment (if necessary) of the Current Funds budget as required by Board Policy

ISSUES:

- Stronger than projected enrollments in Summer and Fall have produced nearly \$3 million in net income.
- Both University Hospitals and the James Cancer Hospital have positive operating results through the first six months of this year.

RECOMMENDATION:

- Raise net tuition estimate for FY 1999 by \$2.7M but do not allocate funds until FY 2000 budget process.
- Continue to monitor impact of managed care on finances of OSU Medical Center, but make no budget changes at this time.

BOARD ACTION REQUESTED:

Vote.

University Resource Planning and Institutional Analysis

Subject: FY 1999 Second Quarter Report

Date: January 14, 1999

From: John Lowe

To: Eric Kunz

Attached are the FY 1999 Second Quarter Report and the corresponding Board of Trustees resolution.

There are only two changes to the Columbus Campus General Funds Budget:

1. An increase in student fees as a result of new projections based on actual Summer and Autumn 14th day enrollments (see my memo dated November 4, 1998 on this topic).
2. An increase in I&G expenses due to an increase in the Buckeye Scholarships as a result of increased non-resident undergraduate students. The original projection of the increase in Buckeye Scholarships by Student Financial Aid was \$0.7 million. After Autumn Quarter enrollments were known, that projection was increased to \$1.3 million. The projected increase in revenue from non-resident undergraduate students is \$1.6 million above the original budget so that the increased revenue covers the increased expense of the scholarships. Attached are the original and revised Student Fee Projection Models.

Historically, these are the only two revisions we make to the Second Quarter Report, unless there are any adjustments to the State Subsidy budget which there aren't this year. However, there are some adjustments that could be made to the Other Funds in accordance with the recommendations made in the Budget vs. Actual analysis just recently sent to you by Barb and myself. These are adjustments that will be made for the FY 2000 CFB. It is only a question whether we want to make them mid-year.

Cc: Barb DeYoung

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
FY 1999 SECOND QUARTER REPORT
(In Thousands)

Resources

Government Support
Student Fees
Auxiliaries
Hospitals
Other

Total Resources

Expenditures
Instructional & General
Auxiliaries
Hospitals
Other

Total Expenditures

	Original Budget			2nd. Quarter Budget			Difference	
	General Funds	Other Funds	Total	General Funds	Other Funds	Total	General Funds	Other Funds
	363,232	258,209	621,441	363,232	258,209	621,441	0	0
	307,515	7,528	315,043	311,563	7,528	319,091	4,048	0
		149,520	149,520		149,520	149,520	0	0
		445,139	445,139		445,139	445,139	0	0
	33,847	183,526	217,373	33,847	183,526	217,373	0	0
	704,594	1,043,922	1,748,516	708,642	1,043,922	1,752,564	4,048	0
	640,780	125,568	766,348	642,062	125,568	767,630	1,282	0
	1,293	154,658	155,951	1,293	154,658	155,951	0	0
		454,129	454,129		454,129	454,129	0	0
	59,560	299,104	358,664	59,560	299,104	358,664	0	0
	701,633	1,033,459	1,735,092	702,915	1,033,459	1,736,374	1,282	0
							1,282	0

THE OHIO STATE UNIVERSITY
STUDENT FEE PROJECTION MODEL
 Reflecting 14th Day Enrollments for Summer and Autumn 1998
 FY 1999

Total Enrollments

Headcount Enrollments					
Actual Summer	Actual Autumn	Projected Winter	Projected Spring	Total	
11,112	36,252	34,618	33,176	115,158	
Undergraduate					
Graduate	1,461	9,108	8,719	9,962	34,250
MBA	84	430	410	400	1,324
Pharmacy	42	94	91	79	306
Law	170	634	609	0	1,413
Dentistry	180	375	374	368	1,297
Optometry	121	247	249	249	866
Vet Medicine	133	529	526	520	1,708
Medicine	217	842	829	812	2,700
Total	19,520	48,511	46,425	44,566	159,022

Non-Resident Enrollments

1,531	3,833	3,600	3,538	12,502
2,811	3,827	3,600	3,483	13,721
8	131	111	105	355
3	8	7	4	22
30	57	53	0	140
2	7	7	7	23
1	32	32	32	97
7	53	52	52	164
3	36	37	31	107
4,396	7,984	7,499	7,252	27,131

FTE Enrollments				
Actual Summer	Projected Winter	Projected Spring	Total	
10,225	32,351	10,841	104,917	
2,284	6,872	6,841	26,352	
404	378	367	1,215	
89	84	78	291	
632	606	0	1,321	
374	373	367	1,290	
247	249	249	866	
517	525	457	1,641	
840	825	808	2,688	
4,422	42,263	40,007	140,582	

Instructional Fees

Quarterly/Semester Fees				
Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Increase
1,129	1,193	1,193	1,193	5.7%
Undergraduate				
Graduate	1,638	1,715	1,715	4.7%
MBA	1,704	1,866	1,866	9.5%
Pharmacy	1,909	2,043	2,043	7.0%
Law (Semester)	3,375	3,696	3,696	9.5%
Dentistry	2,964	3,171	3,171	7.0%
Optometry	2,748	2,885	2,885	5.0%
Vet Medicine	2,806	3,002	3,002	7.0%
Medicine	3,565	3,779	3,779	6.0%
Total				

General Fees

Actual Summer	Actual Autumn	Projected Winter	Projected Spring	Increase
91	100	100	100	9.9%
Undergraduate				
Graduate	91	100	100	9.9%
MBA	91	100	100	9.9%
Pharmacy	91	100	100	9.9%
Law (Semester)	136	150	150	10.3%
Dentistry	91	100	100	9.9%
Optometry	91	100	100	9.9%
Vet Medicine	91	100	100	9.9%
Medicine	91	100	100	9.9%
Total				

Non-Resident Tuition

Actual Summer	Actual Autumn	Projected Winter	Projected Spring	Increase
2,403	2,523	2,523	2,523	5.0%
Undergraduate				
Graduate	2,762	2,900	2,900	5.0%
MBA	2,762	2,900	2,900	5.0%
Pharmacy	2,939	3,086	3,086	5.0%
Law (Semester)	4,473	4,697	4,697	5.0%
Dentistry	5,673	5,957	5,957	5.0%
Optometry	5,673	5,957	5,957	5.0%
Vet Medicine	5,863	6,156	6,156	5.0%
Medicine	6,353	6,671	6,671	5.0%
Total				

Grand Total

Projected Fee Income (In Thousands)				
Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total
8,694	40,592	38,595	36,793	124,674
8,772	12,492	11,785	11,732	44,781
114	754	705	684	2,257
76	182	172	160	590
280	2,336	2,238	0	4,854
521	1,187	1,183	1,163	4,054
332	713	718	717	2,480
370	1,583	1,577	1,371	4,901
768	3,172	3,118	3,054	10,112
19,927	63,011	60,091	55,674	198,703
701	3,401	3,235	3,084	10,422
487	728	687	684	2,586
6	40	38	37	121
4	9	8	8	29
11	95	91	0	197
16	37	37	37	127
11	25	25	25	86
12	53	53	46	164
20	84	63	81	248
1,268	4,473	4,257	4,002	14,000
2,324	8,648	8,668	8,391	28,031
6,870	10,463	9,833	9,462	36,628
8	336	301	284	929
9	24	22	6	61
79	263	249	0	591
11	42	42	42	137
5	191	191	191	578
41	324	320	302	987
19	240	247	207	713
9,366	20,731	19,873	18,885	68,855
30,561	88,215	84,221	78,561	281,558

THE OHIO STATE UNIVERSITY
STUDENT FEE PROJECTION MODEL
 Reflecting Loss of Asian Students, May 6, 1998 (Used in the final GFB model for FY 1999)
 FY 1999

	Headcount Enrollments					FTE Enrollments				
	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total
Total Enrollments										
Undergraduate	11,002	36,183	34,511	33,055	114,751	7,532	33,815	32,251	30,728	104,327
Graduate	7,393	9,506	9,092	9,314	35,305	5,432	7,577	7,217	7,170	27,397
Pharmacy	46	73	73	81	273	44	68	67	80	260
Law	138	659	633	0	1,430	79	658	619	0	1,356
Dentistry	178	381	380	374	1,313	173	380	379	373	1,304
Optometry	121	245	247	247	860	121	246	247	247	861
Vet Medicine	130	536	533	527	1,726	130	534	532	463	1,660
Medicine	212	843	831	814	2,700	210	842	827	810	2,689
Total	19,220	48,426	46,300	44,412	158,358	13,721	44,121	42,150	39,871	137,864
Non-Resident Enrollments										
Undergraduate	1,451	3,559	3,394	3,251	11,656	954	3,368	3,239	3,056	10,618
Graduate	2,705	3,757	3,531	3,446	13,439	2,420	3,573	3,363	3,269	12,625
Pharmacy	2	4	4	4	13	2	4	4	2	11
Law	14	55	53	0	122	8	55	53	0	117
Dentistry	1	4	4	4	13	1	4	4	4	13
Optometry	3	26	26	26	81	3	26	26	26	81
Vet Medicine	6	54	54	53	167	6	54	54	50	164
Medicine	0	37	37	36	111	0	37	37	36	111
Total	4,182	7,497	7,103	6,821	25,601	3,369	7,123	6,789	6,443	23,740
Instructional Fees										
	Quarterly/Semester Fees					Projected Fee Income (In Thousands)				
	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Increase	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total
Undergraduate	1,129	1,193	1,193	1,193	5.7%	8,504	40,341	38,476	36,659	123,980
Graduate	1,638	1,715	1,715	1,715	4.7%	8,898	12,995	12,376	12,297	46,566
Pharmacy	1,909	2,043	2,043	2,043	7.0%	83	139	138	164	524
Law (Semester)	3,375	3,696	3,696	3,696	9.5%	266	2,433	2,326	0	5,025
Dentistry	2,964	3,171	3,171	3,171	7.0%	513	1,204	1,202	1,182	4,101
Optometry	2,743	2,885	2,885	2,885	5.0%	333	710	713	712	2,468
Vet Medicine	2,806	3,002	3,002	3,002	7.0%	365	1,604	1,598	1,390	4,957
Medicine	3,565	3,779	3,779	3,779	6.0%	749	3,183	3,125	3,061	10,118
Total						19,711	62,609	59,954	55,465	197,739
General Fees										
Undergraduate	91	100	100	100	9.9%	685	3,382	3,225	3,073	10,365
Graduate	91	100	100	100	9.9%	494	758	722	717	2,691
Pharmacy	91	100	100	100	9.9%	4	7	7	8	26
Law (Semester)	136	150	150	150	10.3%	11	99	94	0	204
Dentistry	91	100	100	100	9.9%	16	38	38	37	129
Optometry	91	100	100	100	9.9%	11	25	25	25	86
Vet Medicine	91	100	100	100	9.9%	12	53	53	46	164
Medicine	91	100	100	100	9.9%	19	84	83	81	267
Total						1,252	4,446	4,147	3,987	13,932
Non-Resident Tuition										
Undergraduate	2,403	2,523	2,523	2,523	5.0%	2,393	8,499	8,173	7,711	26,676
Graduate	2,762	2,900	2,900	2,900	5.0%	6,684	10,361	9,754	9,479	36,278
Pharmacy	2,939	3,086	3,086	3,086	5.0%	6	11	11	6	34
Law (Semester)	4,473	4,697	4,697	4,697	5.0%	38	260	250	0	548
Dentistry	5,673	5,957	5,957	5,957	5.0%	6	24	24	23	77
Optometry	5,673	5,957	5,957	5,957	5.0%	17	154	155	155	481
Vet Medicine	5,863	6,156	6,156	6,156	5.0%	35	333	331	309	1,008
Medicine	6,383	6,671	6,671	6,671	5.0%	0	250	246	241	737
Total						9,079	19,892	18,944	17,924	65,839
Gross Total						30,642	86,947	83,145	77,376	277,510

EXHIBIT A

**ALTERNATIVE RETIREMENT PLAN SUMMARY
FEBRUARY 5, 1999**

The Ohio General Assembly passed, and the Governor signed, legislation requiring Ohio public higher education institutions to adopt Alternative Retirement Plans.

The statute instructed the Ohio Department of Insurance to designate three or more providers for such Plan. On March 31, 1998, the Department of Insurance designated the following eight providers:

Aetna Life Insurance and Annuity Company
The Equitable Life Assurance Company
Great American Life Insurance Company
Lincoln National Life Insurance Company
Metropolitan Life Insurance Company
Nationwide Life Insurance Company
Teachers Insurance and Annuity Association
Variable Annuity Life Insurance Company

Participation in the Plan will be made available to all full-time faculty and unclassified staff members, either newly hired or with less than five years of service in the appropriate public retirement system, as provided in the statute. "Full-time" for the purpose of eligibility will mean appointed to a position of at least 75% Full-Time-Equivalent.

The University's Plan will provide an 8% employer contribution for participants who are members of the faculty, and 7.31% for staff participants. These contribution levels provide for cost neutrality relative to the current contribution rates of the public retirement systems. The law requires a 6% employer contribution to continue to be made to the appropriate public retirement system to offset past-service liabilities.

The University's Plan will include a one-year vesting requirement. Forfeitures will reduce future employer contributions, thereby providing funds to offset costs of administration.

The University's Plan Document will comply with all federal and state laws and will be submitted to the Internal Revenue Service for approval.

The Offices of Human Resources and Legal Affairs will enter into operating agreements with the eight designated providers on behalf of the University.

Important Points:

- The legislation did not include eligibility for classified staff. OSU made strong requests to include classified staff, and will continue to work for legislative change.
- Approximately 4,519 current faculty and staff, and 700 new hires this year, must be educated and given the opportunity to make their irrevocable decision.
- The ARP will be featured as an additional option to eligible faculty and staff, but will in no way be represented as a superior plan to the existing STRS and PERS plans. We will especially educate the eligible group that the ARP selection waives many of the survivor, disability, and health care options provided by STRS and PERS.
- This plan implementation is basically budget-neutral.